

s22

----- Original Message -----

**From:** s47F**Received:** s47E(d)**To:** Defence Export Controls Applications**Subject:** Application To Export Controlled Goods and Technology [SEC=UNCLASSIFIED]

UNCLASSIFIED

Before submitting this application you should save a copy for your own records. If you have created a separate spreadsheet file for the purposes of listing multiple items for inclusion in your application, you must attach this file.

As well as your application, you should attach any supporting documentation that is required. This may include:

\* Technical specifications and/or brochures; \* Purchase Order; \* Firearms licence and/or registration certificate; \* End-User Certificates or Statements; \* Foreign government import/export approvals; \* Government approval to release classified material; \* Any outcome documents obtained from assessments conducted on the Online DSGL Tool; \* Spreadsheet listing "Bulk Line Items"; \* Evidence of an intention to demonstrate the goods or technology (such as an invitation to display); and/or \* Additional information or statements

NOTE: You should not attach any Australian Government security-classified material to this email. Please contact DECO to discuss how to transmit any classified material if required.

Once your application has been successfully received, you will be advised via email of the reference number for your application

UNCLASSIFIED

s22



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Application To Export or Supply Controlled Goods and Technology

### INFORMATION ABOUT THE APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

This form should be completed if you are seeking

- an approval to export goods or supply technology,
- to renew an existing permit, or
- an in-principle approval assessment.

This application will be subject to an assessment against the following legislation:

- Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*
- *Defence Trade Controls Act 2012*
- *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995* (WMD Act)
- Section 112BA of the *Customs Act 1901*

If you are unsure whether the supply activity is controlled by the Defence Trade Controls Act, you should first complete the online Activity Questionnaire at <https://dsgl.defence.gov.au>.

You should familiarise yourself with the United Nations and Australian Government's implementation of sanctions against certain countries and entities. Information is available on the Department of Foreign Affairs and Trade website at [www.dfat.gov.au/sanctions](http://www.dfat.gov.au/sanctions).

Prior to submitting your application you should ensure you have completed each of the questions and that all of the required supporting documentation is attached. An incomplete application may result in a delay of the assessment.

### COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The personal information you provide in this application form is being collected by the Department of Defence for the purpose of administering export control legislation including the *Customs Act 1901*, the *Defence Trade Controls Act 2012* and the *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995*.

The information you provide will be used to assess your application. Defence may also use the information when undertaking other export control functions including compliance activities, and to contact you with information regarding export controls. Defence may provide some or all of the information to other Australian Government agencies involved in the administration of export control legislation including the Australian Customs and Border Protection Service, the Department of Foreign Affairs and Trade, the Department of Industry, law enforcement and intelligence agencies. In some circumstances, Defence may be required to provide some of this information to Australia's international regime partners in order to fulfil Australia's reporting and consultation responsibilities. Defence will not disclose your personal information beyond these circumstances unless authorised to do so by law.

The Department of Defence has a Defence Privacy Policy. The Defence Privacy Policy contains information on how an individual may apply for access to their personal information and how an individual may apply to have their personal information amended. The Defence Privacy Policy also contains information for individuals on how to make a privacy complaint to Defence if they consider Defence may have breached the Australian Privacy Principles. A copy of the Defence Privacy Policy can be found at [www.defence.gov.au](http://www.defence.gov.au). For more information, the Defence Privacy Officer can be contacted by email at [defence.privacy@defence.gov.au](mailto:defence.privacy@defence.gov.au).

If you require further assistance please contact DECO by telephone on 1800 66 10 66 or by email at [deco@defence.gov.au](mailto:deco@defence.gov.au).



APPLICATION TO EXPORT OR SUPPLY  
CONTROLLED  
GOODS AND TECHNOLOGY

**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**Applicant Details**

Your applicant name and DECS Client Registration Number (DCRN) details will be matched against our client records. Your client record details will appear on permits and other correspondence produced by DECO.

To enable DECO to seek further information during the assessment process, you must include details of a nominated contact person. This person should be able to discuss the technical attributes of the items or technology, and other details of the application. It does not have to be the same person who is completing the application.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

DECS Client Registration Number (DCRN) \*

s47G

Registration for a DCRN must be done with a separate form available from <http://www.defence.gov.au/deco>.

Name of Applicant (Company or Individual) \*

s47G

Contact Person - First (Given) Name \*

s47G

Contact Person - Last (Family) Name \*

s47F

Position/Title \*

s47F

Contact Person - Phone \*

s47F

Contact Person - Email \*

s47F

Do you have an internal reference number for this application? \*

s47G

No

Yes, please enter your internal reference number

Are you exporting goods or technology on behalf of someone else? \*

s47G

No

Yes

## Application Purpose

You should select the description that best describes why you are submitting this application. As the assessment of your application will consider all of the relevant export control legislation, it is important that you provide as much information as possible.

You should tell us of any previous correspondence you have had with us regarding this activity. If we have issued you with a permit or reference number in our correspondence you should quote this number.

You should tell us if your application will involve the export or supply of items to a sanctioned destination or entity. We consult with the Department of Foreign Affairs and Trade on cases that are controlled by sanctions legislation.

If this application is to *renew a permit, export control assessment or in-principle approval assessment*, you do not need to complete Sections 4-13 if there are no changes to the list of Goods or Technology, the Consignees or End Users / Recipients, and the permit was issued on the Defence Export Control System (DECS) after 1 May 2013. If any of these details need to be updated in the renewal, you must complete all the questions in the application.

What is the purpose of this application? Do you want to: \*

- s47** Apply for a new permit
- G** Seek an in-principle approval assessment
- Apply to export or supply items that have previously been granted in-principle approval assessment
- Renew a permit or in-principle approval assessment

Have you previously received an Outcome of Export Control Assessment letter from DECO for the goods and technology in this application?

- s47** No
- G** Yes

Do you believe or suspect the goods, technology or any services to be exported or supplied may be used in a weapons of mass destruction program? \*

- s47** No
- G** Yes

Are the goods or technology to be exported, or supplied, to a country or entity subject to United Nations or Australian Autonomous Sanctions? \*

- s47** No
- G** Yes

**Details of Goods or Technology**

In this section you must list all the goods, software and technology (items) that are to be assessed. Use the "Add Goods or Technology" button for each new line item. For each line item you must indicate whether it will be exported or supplied as approvals are issued under two separate pieces of legislation.

**Describing your goods or technology**

- In this section you must separately list each item that is to be exported or supplied.
- When entering the items, you should enter the information as you want it to appear in the approval.
- For **physical goods**, the information should be comprehensive enough that a person can compare the approval against other commercial documentation and, if required by law or enforcement officers, the information can be matched against the physical goods.
- Where applicable you must include details of the manufacturer, make, model, serial number, NATO Stock Number, quantity, unit of measure and value. If these details are not provided, the assessment may be delayed.
- For **technology (e.g. data or software)** the information should accurately describe the actual technology that is being supplied in as much detail as possible.
- You should avoid the use of initials, acronyms or abbreviations.
- Where additional information, such as brochures or technical specification, explains the design features or capabilities of the items, these should be submitted as attachments to the application.

**Listing Military Equipment**

- If the items are parts, components or sub-assemblies of a larger military system, describe the top level system or higher level platform that they are fitted to or used in, eg i.e. "Parts and components for a specified super fast military aircraft, model type AB"
- If you require a full list of parts and components to be shown on the export permit you should attach a completed [Bulk upload file for an Application to Export Controlled Goods and Technology](#) to your application instead of entering the items directly into the application form.

**Exporting Firearms**

- The description of every firearm must include the Type, Model, Calibre and Action
- Applications must be accompanied by documentation demonstrating the ownership of the firearms and/or ammunition. For example, a firearms dealers licence, individual firearms registration and licences.
- If the owner is NOT the applicant (i.e. an agent), written authority is required from the owner to export the firearm and / or ammunition, as well as the owner's proof of ownership and a firearm licence.
- If the firearms or ammunition are to be exported to a foreign government, a completed DEC04 End-User and Non-Transfer Certificate must be submitted.
- If the firearms or ammunition are to be exported to private individuals overseas, an import permission, issued by the foreign government, or a completed DEC09 Firearm / Ammunition End-User Certificate should be submitted to verify the end-users.

Manufacturer and Model number	Serial Number (if applicable)	NATO Stock Number (if applicable)
s47G		
Quantity	Unit of Measure	Value (AUD) or Added Value
s47G		

**Full Description of the Goods or Technology (Max 1,000 Characters)**

The item description you enter in this field will be the description of the item that is displayed in your permit.

Note: For Firearms include Type, Model, Calibre and Action or For Chemicals include Chemical Name, CAS Number, Composition and Concentration

s47G

Will this item be \*

- Exported
- Supplied

Is the item software or technology?

The software or technology may be in either tangible (to be exported) form or intangible (to be supplied) form

- s47  
G
- Software
  - Technology
  - Neither

Is the item in the public domain?

Software and technology is in the public domain if it can be downloaded, purchased by anyone, or accessed by anyone without any restrictions.

- s47  
G
- No
  - Yes
  - Unsure

Is the item for the installation, operation, maintenance or repair of goods (including software and technology)?

This could include

- a user manual or basic repair manual
- software normally supplied with an exported good, such as an operating system - including firmware
- a diagnostic program for fault finding in the goods

- s47  
G
- No
  - Yes

Is the technology basic scientific research?

Basic scientific research is experimental or theoretical work undertaken principally to acquire new knowledge of the fundamental principles or phenomena or observable facts, not primarily directed towards a specific practical aim or objective.

This means technology that in itself constitutes basic scientific research, or is the outcome of basic scientific research.

- s47  
G
- No
  - Yes

Is the technology for an actual patent application?

Technology that is in a form required for submission to a patent office, and is being submitted to a patent office, may not be controlled.

- s47  
G
- No
  - Yes

Are the goods or technology specially designed for military use? \*

- s47  
G
- No
  - Yes

Is the item specially designed for medical end-use?

- s47  
G
- No
  - Yes

Is the item, or will the item be, incorporated into medical equipment?

Medical equipment is equipment that that is specially designed for medical end-use.

- s47  
G
- No
  - Yes

Add Goods or Technology

Are there Goods and Technology listed on a separate "Bulk Line Items" list?

A "Bulk Line Items" list spreadsheet can be used where you need to separately describe a large number of goods and technology for assessment. The spreadsheet can be downloaded from the Forms section at <http://www.defence.gov.au/DECO/Forms.asp>

- s47  
G
- No
  - Yes

**Export or Supply of Goods and Technology**

Export permits are required when controlled goods or technology are transhipped through Australia. Transhipment occurs when items are brought to Australia on a ship or aircraft, and the items are transferred to another ship or aircraft which departs Australia for the subsequent destination.

Certain technologies, including software, relating to controlled items require an export permit. If you will be providing further software releases or patches, these may be controlled. You must tell us about these so we can assess if you will require permit. In the details, you should explain the function of this software or firmware, including if it will provide an additional capability or if it incorporates encryption.

Information on the factors that we consider when assessing if items may have a 'military end-use' are listed in the Frequently Asked Questions of our website.

Select the option which best describes why the goods or technology will be exported or supplied \*

- s47  
G
- For use by the consignee or end user
  - Academic research or international collaboration efforts
  - To be on-sold by a distributor or retailer
  - Temporary basis - demonstration or exhibit
  - Temporary basis - use in a shooting competition or recreational activity
  - Being returned to the Original Equipment Manufacturer or their agent (includes for repair, or at the expiration of the original equipment)
  - Expiration of a foreign Government temporary export license
  - Being returned to the owner after repair, modification, demonstration or exhibition in Australia
  - Being exported or supplied under a Defence contract
  - For integration into a larger assembly and subsequent return to Australia
  - For tender evaluation or testing
  - Transhipment of controlled items through Australia
  - Other

Will you be exporting or supplying future software or firmware releases? \*

- s47  
G
- No
  - Yes

**Export Details**

Typically tangible items are exported when sent overseas by ship, aircraft, post, courier, or as checked-in or hand-held luggage. It includes software and technology, such as diagrams and notes, that are sent overseas in a medium such as CD, DVD, USB, computer hard drive or on paper.

Exports can be permanent (eg for sale to a foreign buyer), or temporary (eg for demonstration, exhibition, use at a conference or workshop, repair by the original equipment manufacturer, or for competition and sporting activities).

Will any of the goods or technology be exported?

- s47  
G
- No
  - Yes

You will need to tell us if the export will be a one-off shipment, or you require a permit for multiple shipments so we can determine the validity period for the permit.

What is the expected date of export?

s47G

Will there be more than one shipment?

- s47  
G
- No
  - Yes

How will the goods or technology be exported?

- s47  
G
- Air Cargo
  - Carried by a person (e.g. checked-in or hand carried luggage, documents, CD, laptop or USB)
  - Post
  - Sea Cargo
  - Other (provide further details (Max 1,000 Characters))

**Supply Details**

Methods of supplying software or technology can include, but is not limited to:

- email
- fax
- electronic messaging (SMS, MMS)
- uploading software or technology to a server
- document sharing or online collaboration tools
- cloud computing

It can also include providing access through the forwarding of a login ID and password, or a link to a server or cloud facility that contains software or technology, and at the time of providing the access, the recipient is not in Australia.

If you believe the recipient already has knowledge of the software or technology being supplied, this is still a 'supply'.

Will any technology be supplied?

- No
- Yes

What is the expected date of supply (if known)?

s47G [Redacted]

Over what period of time will the supply occur (if known)?

s47G [Redacted]

How will the technology be supplied?

- Email
- Fax
- Made available for download from website owned by applicant
- Made available for download via site not owned by applicant
- Made available for download from a secure or restricted access server or cloud facility
- Other, please specify (Max 1,000 Characters)

s47G [Redacted]

**Consignee Details**

A "consignee" is usually a third party, or an intermediary, who is part of the supply chain for the export of goods, or they could be the end user of the goods. A "consignee" is less likely to be involved in the supply of technology.

If you are exporting the goods for your own use overseas, or will retain control of goods exported temporarily, your name and overseas contact details must be provided in this section.

Post Office boxes are not acceptable.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name	Number and Street Name	Suburb/Town	State
s47G [Redacted]	s47G [Redacted]	s47G [Redacted]	s4 [Redacted]
Postcode	Country	Contact - Name	Contact - Phone
[Redacted]	s47G [Redacted]	[Redacted]	[Redacted]
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title
[Redacted]	[Redacted]	[Redacted]	[Redacted]

Add Consignee



**End-User/Recipient Details**

The End-User/Recipient will be the persons, companies or research/tertiary institutions that will receive and/or use the goods and technology. In most cases, the End-User / Recipient will be located overseas at the time the export or supply occurs.

For items being sent overseas for repair, maintenance or testing, the End-User will usually be the name of the Australian owner of the goods or the entity the goods will be returned to.

If the End-User/Recipient is a large organisation, government agency or institution, you should identify the department or section within the larger entity, eg "School of Engineering, Knoxside University". If the names of the End-Users are unknown, such as when items are sent to a distributor, then enter "Unknown" in the Name field. If you will use the goods overseas, include your details.

Post Office boxes are not acceptable.

International phone numbers should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name *	Number and Street Name	Suburb/Town	State
s47G	s47G	s47G	s47G
Postcode	Country *	Contact - Name	Contact - Phone
s47G	s47G		
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title

Add End-User

**Consignee/End-User/Recipient Questions**

To conduct our assessment of your application, we need to understand what you know about the parties who will be receiving the goods and technology, and how they intend to use them.

If your application includes the export of goods or technology, you should submit an applicable End-Use Certificate, Statement by Ultimate Consignee or Purchaser, Import Permission issued by the foreign government, Work Order, Repair Order or Purchase Order.

Is the consignee/end-user/recipient in an associated/related industry to you?

s4 No  
7G Yes

Provide further details (Max 1,000 Characters)

s47G

Have you exported or supplied goods or technology to the consignee/end-user/recipient previously?

s4 No  
7G Yes

Provide further details including over what period of time (Max 1,000 Characters)

s47G

Will the goods or technology be used for military purposes?

Goods will be used for military purposes "if the goods are or may be for use in operations, exercises or other activities conducted by an armed force or an armed group, whether or not the armed force or armed group forms part of the armed forces of the government of a foreign country".

s4 No  
7G Yes

How will the end-user/recipient utilise these items and/or technology? (Max 1,000 Characters)

s47G

Do you have evidence from the end-user/recipient verifying how they will use the goods or technology, or the purpose for which they are receiving the goods or technology?

s47  
G

No  
Yes, you must attach evidence with your application

### Third Party/Foreign Government Approvals

Some countries have strict requirements on the re-export of goods they permit to be exported. One example is the United States of America. Prior to submitting your application, you should check with your supplier to confirm whether your items are subject to any requirement to obtain approval for re-export of the items.

If approval is required, you should submit evidence of that Third Country clearance. These may include documents such as a:

- Technical Assistance Agreement (TAA)
- Manufacturing Licence Agreement (MLA)
- Foreign Military Sale documentation
- a US DSP-5
- Government approval letter
- Warehouse and Distribution Licence, or
- Foreign export licence.

Are the goods or technology, including any embedded technology, data or equipment, subject to re-export controls by a foreign government, such as the United States International Traffic in Arms Regulations (ITAR) or the United States Export Administration Regulations (EAR)?

**Note: Third Party clearances must be attached to this application \***

s47  
G

No  
Yes, specify the country

Were the goods or technology previously subject to the Australian-US Defense Trade Cooperation Treaty? \*

s47  
G

No  
Yes, what is the Approved Community Identification (ACID) number for this facility?

### Activity Related to Australian Government Business

You must tell us if the goods or technology are owned, or were supplied to you, by the Australian Government. The provision of Government contact details will allow us to determine if approval is required from another part of the Australian Government before we can finalise our assessment.

Is the export or supply of the goods and technology related to Australian Government business? \*

s47  
G

No  
Yes

Government Representative - Name

s47G

Government Representative - Department/Work Area

Government Representative - Position/Title

Government Representative - Phone

Government Representative - Email

Will the export or supply of the goods and technology involve the release of any Australian Government classified information or equipment?

s47  
G

No  
Yes, provide details of classification level, at what stages it will be released and by whom (Max 1,000 Characters)

**Note: Defence approval must be attached to this application.**

Is the export or supply of the goods and technology related to an Australian or foreign Government tender process or existing contract?

s47  
G

No  
Yes, provide further details (Max 1,000 Characters)

### Further Information

If there is any further information that you believe we should know about in assessing your application, and we have not asked you about it, you should provide those details here. If there is insufficient space you can include those details as an attachment to your application.

Do you wish to provide additional information in support of your application? \*

s47  
G

No  
Yes

**Statement by Applicant**

**ACKNOWLEDGE**

In applying to the Department of Defence, you consent that the information provided in this application may be provided to other Australian Government agencies.

Any person who makes a false or misleading statement in an application may be prosecuted for an offence under Section 136.1 of the *Criminal Code Act 1995*. If found guilty, penalties (including imprisonment) may be imposed by the court.

**STATEMENT BY APPLICANT**

I certify that the information in this application is true and correct. \*

I will promptly notify the Defence Export Control Office of any changes relating to the information provided in this application. \*

Use contact person details from section one?

First Name *	Last Name *	Position/Title *
s47F	s47F	s47F
Email *	Contact Phone Number *	Date (Auto-completed on submission)
s47F	s47F	s47F

Submit



**Australian Government**

**Department of Defence**

Defence Export Control Office

## Application To Export or Supply Controlled Goods and Technology

### INFORMATION ABOUT THE APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

This form should be completed if you are seeking

- an approval to export goods or supply technology,
- to renew an existing permit, or
- an in-principle approval assessment.

This application will be subject to an assessment against the following legislation:

- Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*
- *Defence Trade Controls Act 2012*
- *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995* (WMD Act)
- Section 112BA of the *Customs Act 1901*

If you are unsure whether the supply activity is controlled by the Defence Trade Controls Act, you should first complete the online Activity Questionnaire at <https://dsgl.defence.gov.au>.

You should familiarise yourself with the United Nations and Australian Government's implementation of sanctions against certain countries and entities. Information is available on the Department of Foreign Affairs and Trade website at [www.dfat.gov.au/sanctions](http://www.dfat.gov.au/sanctions).

Prior to submitting your application you should ensure you have completed each of the questions and that all of the required supporting documentation is attached. An incomplete application may result in a delay of the assessment.

### COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The personal information you provide in this application form is being collected by the Department of Defence for the purpose of administering export control legislation including the *Customs Act 1901*, the *Defence Trade Controls Act 2012* and the *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995*.

The information you provide will be used to assess your application. Defence may also use the information when undertaking other export control functions including compliance activities, and to contact you with information regarding export controls. Defence may provide some or all of the information to other Australian Government agencies involved in the administration of export control legislation including the Australian Customs and Border Protection Service, the Department of Foreign Affairs and Trade, the Department of Industry, law enforcement and intelligence agencies. In some circumstances, Defence may be required to provide some of this information to Australia's international regime partners in order to fulfil Australia's reporting and consultation responsibilities. Defence will not disclose your personal information beyond these circumstances unless authorised to do so by law.

The Department of Defence has a Defence Privacy Policy. The Defence Privacy Policy contains information on how an individual may apply for access to their personal information and how an individual may apply to have their personal information amended. The Defence Privacy Policy also contains information for individuals on how to make a privacy complaint to Defence if they consider Defence may have breached the Australian Privacy Principles. A copy of the Defence Privacy Policy can be found at [www.defence.gov.au](http://www.defence.gov.au). For more information, the Defence Privacy Officer can be contacted by email at [defence.privacy@defence.gov.au](mailto:defence.privacy@defence.gov.au).

If you require further assistance please contact DECO by telephone on 1800 66 10 66 or by email at [deco@defence.gov.au](mailto:deco@defence.gov.au).



# APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Applicant Details

Your applicant name and DECS Client Registration Number (DCRN) details will be matched against our client records. Your client record details will appear on permits and other correspondence produced by DECO.

To enable DECO to seek further information during the assessment process, you must include details of a nominated contact person. This person should be able to discuss the technical attributes of the items or technology, and other details of the application. It does not have to be the same person who is completing the application.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

DECS Client Registration Number (DCRN) \*

s47G

Registration for a DCRN must be done with a separate form available from <http://www.defence.gov.au/deco>.

Name of Applicant (Company or Individual) \*

s47G

Contact Person - First (Given) Name \*

s47

Contact Person - Last (Family) Name \*

s47F

Position/Title \*

s47F

Contact Person - Phone \*

s47F

Contact Person - Email \*

s47F

Do you have an internal reference number for this application? \*

s47

No, please enter your internal reference number

Are you exporting goods or technology on behalf of someone else? \*

s47

No

G

es

## Application Purpose

You should select the description that best describes why you are submitting this application. As the assessment of your application will consider all of the relevant export control legislation, it is important that you provide as much information as possible.

You should tell us of any previous correspondence you have had with us regarding this activity. If we have issued you with a permit or reference number in our correspondence you should quote this number.

You should tell us if your application will involve the export or supply of items to a sanctioned destination or entity. We consult with the Department of Foreign Affairs and Trade on cases that are controlled by sanctions legislation.

If this application is to *renew a permit, export control assessment or in-principle approval assessment*, you do not need to complete Sections 4-13 if there are no changes to the list of Goods or Technology, the Consignees or End Users / Recipients, and the permit was issued on the Defence Export Control System (DECS) after 1 May 2013. If any of these details need to be updated in the renewal, you must complete all the questions in the application.

What is the purpose of this application? Do you want to: \*

- s47G Apply for a new permit
- Seek an in-principle approval assessment
- Apply to export or supply items that have previously been granted in-principle approval assessment
- Renew a permit or in-principle approval assessment

Have you previously received an Outcome of Export Control Assessment letter from DECO for the goods and technology in this application?

- s47G No
- Yes

Do you believe or suspect the goods, technology or any services to be exported or supplied may be used in a weapons of mass destruction program? \*

- s47G No
- Yes

Are the goods or technology to be exported, or supplied, to a country or entity subject to United Nations or Australian Autonomous Sanctions? \*

- s47G No
- Yes

**Details of Goods or Technology**

In this section you must list all the goods, software and technology (items) that are to be assessed. Use the "Add Goods or Technology" button for each new line item. For each line item you must indicate whether it will be exported or supplied as approvals are issued under two separate pieces of legislation.

**Describing your goods or technology**

- In this section you must separately list each item that is to be exported or supplied.
- When entering the items, you should enter the information as you want it to appear in the approval.
- For **physical goods**, the information should be comprehensive enough that a person can compare the approval against other commercial documentation and, if required by law or enforcement officers, the information can be matched against the physical goods.
- Where applicable you must include details of the manufacturer, make, model, serial number, NATO Stock Number, quantity, unit of measure and value. If these details are not provided, the assessment may be delayed.
- For **technology (e.g. data or software)** the information should accurately describe the actual technology that is being supplied in as much detail as possible.
- You should avoid the use of initials, acronyms or abbreviations.
- Where additional information, such as brochures or technical specification, explains the design features or capabilities of the items, these should be submitted as attachments to the application.

**Listing Military Equipment**

- If the items are parts, components or sub-assemblies of a larger military system, describe the top level system or higher level platform that they are fitted to or used in, eg i.e. "Parts and components for a specified super fast military aircraft, model type AB"
- If you require a full list of parts and components to be shown on the export permit you should attach a completed [Bulk upload file for an Application to Export Controlled Goods and Technology](#) to your application instead of entering the items directly into the application form.

**Exporting Firearms**

- The description of every firearm must include the Type, Model, Calibre and Action
- Applications must be accompanied by documentation demonstrating the ownership of the firearms and/or ammunition. For example, a firearms dealers licence, individual firearms registration and licences.
- If the owner is NOT the applicant (i.e. an agent), written authority is required from the owner to export the firearm and / or ammunition, as well as the owner's proof of ownership and a firearm licence.
- If the firearms or ammunition are to be exported to a foreign government, a completed DEC04 End-User and Non-Transfer Certificate must be submitted.
- If the firearms or ammunition are to be exported to private individuals overseas, an import permission, issued by the foreign government, or a completed DEC09 Firearm / Ammunition End-User Certificate should be submitted to verify the end-users.

Manufacturer and Model number s47G	Serial Number (if applicable)	NATO Stock Number (if applicable) s47G
Quantity s47G	Unit of Measure	Value (AUD) or Added Value s47G

**Full Description of the Goods or Technology (Max 1,000 Characters)**

The item description you enter in this field will be the description of the item that is displayed in your permit.

Note: For Firearms include Type, Model, Calibre and Action or For Chemicals include Chemical Name, CAS Number, Composition and Concentration

s47G

Will this item be \*

s47G  
 exported  
 supplied

Is the item software or technology?

The software or technology may be in either tangible (to be exported) form or intangible (to be supplied) form

- s47  
G
- Software
  - Technology
  - Neither

Is the item in the public domain?

Software and technology is in the public domain if it can be downloaded, purchased by anyone, or accessed by anyone without any restrictions.

- s47  
G
- No
  - Yes
  - Unsure

Is the item for the installation, operation, maintenance or repair of goods (including software and technology)?

This could include

- a user manual or basic repair manual
- software normally supplied with an exported good, such as an operating system - including firmware
- a diagnostic program for fault finding in the goods

- s47  
G
- No
  - Yes

Is the technology basic scientific research?

Basic scientific research is experimental or theoretical work undertaken principally to acquire new knowledge of the fundamental principles or phenomena or observable facts, not primarily directed towards a specific practical aim or objective.

This means technology that in itself constitutes basic scientific research, or is the outcome of basic scientific research.

- s47  
G
- No
  - Yes

Is the technology for an actual patent application?

Technology that is in a form required for submission to a patent office, and is being submitted to a patent office, may not be controlled.

- s47  
G
- No
  - Yes

Are the goods or technology specially designed for military use? \*

- s4  
7G
- No
  - Yes

Is the item specially designed for medical end-use?

- s47  
G
- No
  - Yes

Is the item, or will the item be, incorporated into medical equipment?

Medical equipment is equipment that that is specially designed for medical end-use.

- s47  
G
- No
  - Yes

Add Goods or Technology

Are there Goods and Technology listed on a separate "Bulk Line Items" list?

A "Bulk Line Items" list spreadsheet can be used where you need to separately describe a large number of goods and technology for assessment. The spreadsheet can be downloaded from the Forms section at <http://www.defence.gov.au/DECO/Forms.asp>

- s4  
7G
- No
  - Yes



**Export or Supply of Goods and Technology**

Export permits are required when controlled goods or technology are transhipped through Australia. Transhipment occurs when items are brought to Australia on a ship or aircraft, and the items are transferred to another ship or aircraft which departs Australia for the subsequent destination.

Certain technologies, including software, relating to controlled items require an export permit. If you will be providing further software releases or patches, these may be controlled. You must tell us about these so we can assess if you will require permit. In the details, you should explain the function of this software or firmware, including if it will provide an additional capability or if it incorporates encryption.

Information on the factors that we consider when assessing if items may have a 'military end-use' are listed in the Frequently Asked Questions of our website.

Select the option which best describes why the goods or technology will be exported or supplied \*

- s47  
G
- For use by the consignee or end user
  - Academic research or international collaboration efforts
  - To be on-sold by a distributor or retailer
  - Temporary basis - demonstration or exhibit
  - Temporary basis - use in a shooting competition or recreational activity
  - Being returned to the Original Equipment Manufacturer or their agent (includes for repair, or at the expiration of the original equipment)
  - Expiration of a foreign Government temporary export license
  - Being returned to the owner after repair, modification, demonstration or exhibition in Australia
  - Being exported or supplied under a Defence contract
  - For integration into a larger assembly and subsequent return to Australia
  - For tender evaluation or testing
  - Transhipment of controlled items through Australia
  - Other

Provide further details (Max 1,000 Characters)

s47G

Will you be exporting or supplying future software or firmware releases? \*

- s47  
G
- No
  - Yes

**Export Details**

Typically tangible items are exported when sent overseas by ship, aircraft, post, courier, or as checked-in or hand-held luggage. It includes software and technology, such as diagrams and notes, that are sent overseas in a medium such as CD, DVD, USB, computer hard drive or on paper.

Exports can be permanent (eg for sale to a foreign buyer), or temporary (eg for demonstration, exhibition, use at a conference or workshop, repair by the original equipment manufacturer, or for competition and sporting activities).

Will any of the goods or technology be exported?

- s47  
G
- No
  - Yes

You will need to tell us if the export will be a one-off shipment, or you require a permit for multiple shipments so we can determine the validity period for the permit.

What is the expected date of export?

s47G

Will there be more than one shipment?

- s47  
G
- No
  - Yes

How will the goods or technology be exported?

- Air Cargo
- Carried by a person (e.g. checked-in or hand carried luggage, documents, CD, laptop or USB)
- Post
- Sea Cargo
- Other (provide further details (Max 1,000 Characters))

[Redacted]

### Supply Details

Methods of supplying software or technology can include, but is not limited to:

- email
- fax
- electronic messaging (SMS, MMS)
- uploading software or technology to a server
- document sharing or online collaboration tools
- cloud computing

It can also include providing access through the forwarding of a login ID and password, or a link to a server or cloud facility that contains software or technology, and at the time of providing the access, the recipient is not in Australia.

If you believe the recipient already has knowledge of the software or technology being supplied, this is still a 'supply'.

Will any technology be supplied?

- No
- Yes

### Consignee Details

A "consignee" is usually a third party, or an intermediary, who is part of the supply chain for the export of goods, or they could be the end user of the goods. A "consignee" is less likely to be involved in the supply of technology.

If you are exporting the goods for your own use overseas, or will retain control of goods exported temporarily, your name and overseas contact details must be provided in this section.

Post Office boxes are not acceptable.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name	Number and Street Name	Suburb/Town	State
[Redacted]	[Redacted]	[Redacted]	[Redacted]
Postcode	Country	Contact - Name	Contact - Phone
[Redacted]	[Redacted]	[Redacted]	[Redacted]
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title
[Redacted]	[Redacted]	[Redacted]	[Redacted]

Add Consignee

### End-User/Recipient Details

The End-User/Recipient will be the persons, companies or research/tertiary institutions that will receive and/or use the goods and technology. In most cases, the End-User / Recipient will be located overseas at the time the export or supply occurs.

For items being sent overseas for repair, maintenance or testing, the End-User will usually be the name of the Australian owner of the goods or the entity the goods will be returned to.

If the End-User/Recipient is a large organisation, government agency or institution, you should identify the department or section within the larger

entity, eg "School of Engineering, Knoxside University". If the names of the End-Users are unknown, such as when items are sent to a distributor, then enter "Unknown" in the Name field. If you will use the goods overseas, include your details.

Post Office boxes are not acceptable.

International phone numbers should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name *	Number and Street Name	Suburb/Town	State
s47G	s47G	s47G	s47G
Postcode	Country *	Contact - Name	Contact - Phone
s47G	s47G	s47G	s47G
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title
			s47G

Add End-User

**Consignee/End-User/Recipient Questions**

To conduct our assessment of your application, we need to understand what you know about the parties who will be receiving the goods and technology, and how they intend to use them.

If your application includes the export of goods or technology, you should submit an applicable End-Use Certificate, Statement by Ultimate Consignee or Purchaser, Import Permission issued by the foreign government, Work Order, Repair Order or Purchase Order.

Is the consignee/end-user/recipient in an associated/related industry to you?

s47G No  
7G Yes

Provide further details (Max 1,000 Characters)

s47G

Have you exported or supplied goods or technology to the consignee/end-user/recipient previously?

s47G No  
G Yes

Provide further details including over what period of time (Max 1,000 Characters)

s47G

Will the goods or technology be used for military purposes?

Goods will be used for military purposes "if the goods are or may be for use in operations, exercises or other activities conducted by an armed force or an armed group, whether or not the armed force or armed group forms part of the armed forces of the government of a foreign country".

s47G No  
G Yes

How will the end-user/recipient utilise these items and/or technology? (Max 1,000 Characters)

s47G

Do you have evidence from the end-user/recipient verifying how they will use the goods or technology, or the purpose for which they are receiving the goods or technology?

s47G No  
G Yes, you must attach evidence with your application

**Third Party/Foreign Government Approvals**

Some countries have strict requirements on the re-export of goods they permit to be exported. One example is the United States of America. Prior to submitting your application, you should check with your supplier to confirm whether your items are subject to any requirement to obtain approval for re-export of the items.

If approval is required, you should submit evidence of that Third Country clearance. These may include documents such as a:

- Technical Assistance Agreement (TAA)
- Manufacturing Licence Agreement (MLA)
- Foreign Military Sale documentation
- a US DSP-5
- Government approval letter
- Warehouse and Distribution Licence, or
- Foreign export licence.

Are the goods or technology, including any embedded technology, data or equipment, subject to re-export controls by a foreign government, such as the United States International Traffic in Arms Regulations (ITAR) or the United States Export Administration Regulations (EAR)?

**Note: Third Party clearances must be attached to this application \***

s47 No  
7G Yes, specify the country

Were the goods or technology previously subject to the Australian-US Defense Trade Cooperation Treaty? \*

s47 No  
G Yes, what is the Approved Community Identification (ACID) number for this facility?

**Activity Related to Australian Government Business**

You must tell us if the goods or technology are owned, or were supplied to you, by the Australian Government. The provision of Government contact details will allow us to determine if approval is required from another part of the Australian Government before we can finalise our assessment.

Is the export or supply of the goods and technology related to Australian Government business? \*

s47 No  
G es

Government Representative - Name

s47F

Government Representative - Department/Work Area

s47G

Government Representative - Position/Title

s47F

Government Representative - Phone

s47F

Government Representative - Email

s47F

Will the export or supply of the goods and technology involve the release of any Australian Government classified information or equipment?

s47 No  
G Yes, provide details of classification level, at what stages it will be released and by whom (Max 1,000 Characters)

**Note: Defence approval must be attached to this application.**

Is the export or supply of the goods and technology related to an Australian or foreign Government tender process or existing contract?

s47 No  
G Yes, provide further details (Max 1,000 Characters)

**Further Information**

If there is any further information that you believe we should know about in assessing your application, and we have not asked you about it, you should provide those details here. If there is insufficient space you can include those details as an attachment to your application.

Do you wish to provide additional information in support of your application? \*

s47 No  
7G Yes

**Statement by Applicant**

**ACKNOWLEDGE**

In applying to the Department of Defence, you consent that the information provided in this application may be provided to other Australian Government agencies.

Any person who makes a false or misleading statement in an application may be prosecuted for an offence under Section 136.1 of the *Criminal Code Act 1995*. If found guilty, penalties (including imprisonment) may be imposed by the court.

**STATEMENT BY APPLICANT**

I certify that the information in this application is true and correct. \*

I will promptly notify the Defence Export Control Office of any changes relating to the information provided in this application. \*

Use contact person details from section one?

First Name *	Last Name *	Position/Title *
s47	s47F	s47F
Email *	Contact Phone Number *	Date (Auto-completed on submission)
s47F	s47F	s47F

Submit

s22

----- Original Message -----

From: Defence Export Controls

Received: s47G

To: s47F

Subject: Acknowledgement of receipt of application #2015107777 [SEC=UNCLASSIFIED]

**UNCLASSIFIED**

Dear Sir/Madam,

I refer to your application for the Export of Controlled Goods and Technology, with your reference number 'Not Supplied', submitted on s47E(d)

Your application has been received and has been issued with the reference number 2015107777.

If you contact us by phone, you will be asked for the application reference number.

Information on the time it takes us to assess your application can be found on our website. You should note that sensitive cases may require a longer period of time to be assessed.

If you feel you have any additional information that may benefit or support your application, you should reply to this email leaving the subject line unchanged. This helps us to link your email to the application.

Yours sincerely,

Defence Export Control Office  
R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66

Email: [deco@defence.gov.au](mailto:deco@defence.gov.au)

Internet: [www.defence.gov.au/deco](http://www.defence.gov.au/deco)

s22





**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**PERMIT FOR THE EXPORT OF GOODS**

This export permit is granted in accordance with Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*.

Permit Number:	<b>DOD</b> /s47E(d)
Permit Holder:	s47G
Date of expiry:	This export Permit authorises the export of the listed goods up to and including s47E(d)
This Permit is for:	s47G
The Approved Goods listed in this Permit are for:	
Approved Goods:	
Consignees:	
End-Users:	
Approved Destinations:	

**Information about this permit:**

1. The only approved exports are those specified in this permit.



2. This permit number should be quoted on all declarations made to the Australian Customs and Border Protection Service for items exported under this permit.
3. In accordance with the Section 240(1A) of the *Customs Act 1901*, you are required to keep all the relevant commercial documents relating to exports of these goods for 5 years from the date of exportation.
4. The export of these goods may be prohibited by the Minister in accordance with section 112(2AB) of the *Customs Act 1901*. In the event that your exportation is prohibited, you will be notified in accordance with the requirements set out in the provision of the *Customs Act 1901*.

Please quote reference number DOD s47E(d) [REDACTED] on any correspondence relating to this permit.

s22 [REDACTED]

Sally Rempel  
Assistant Director

Date of issue: s47E(d) [REDACTED]

**Defence Export Control Office**

R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66  
Email: deco@defence.gov.au



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**PERMIT FOR THE EXPORT OF GOODS**

This export permit is granted in accordance with Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*.

Permit Number:	<b>DOD</b> s47E(d)
Permit Holder:	s47G
Date of expiry:	This export Permit authorises the export of the listed goods up to and including s47E(d)
This Permit is for:	s47G
The Approved Goods listed in this Permit are for:	
Approved Goods:	
Consignees:	
End-Users:	
Approved Destinations:	

**Information about this permit:**

1. The only approved exports are those specified in this permit.

2. This permit number should be quoted on all declarations made to the Australian Customs and Border Protection Service for items exported under this permit.
3. In accordance with the Section 240(1A) of the *Customs Act 1901*, you are required to keep all the relevant commercial documents relating to exports of these goods for 5 years from the date of exportation.
4. The export of these goods may be prohibited by the Minister in accordance with section 112(2AB) of the *Customs Act 1901*. In the event that your exportation is prohibited, you will be notified in accordance with the requirements set out in the provision of the *Customs Act 1901*.

Please quote reference number DOD/s47E(d) on any correspondence relating to this permit.

s22

Sally Rempel  
Assistant Director

Date of issue s47E(d)

**Defence Export Control Office**

R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66  
Email: deco@defence.gov.au



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**PERMIT FOR THE EXPORT OF GOODS**

This export permit is granted in accordance with Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*.

Permit Number:	<b>DOD</b> s47E(d)
Permit Holder:	s47G
Date of expiry:	This export Permit authorises the export of the listed goods up to and including s47E(d)
This Permit is for:	s47G
The Approved Goods listed in this Permit are for:	
Approved Goods:	
Consignees:	
End-Users:	
Approved Destinations:	

**Information about this permit:**

1. The only approved exports are those specified in this permit.

2. This permit number should be quoted on all declarations made to the Australian Customs and Border Protection Service for items exported under this permit.
3. In accordance with the Section 240(1A) of the *Customs Act 1901*, you are required to keep all the relevant commercial documents relating to exports of these goods for 5 years from the date of exportation.
4. The export of these goods may be prohibited by the Minister in accordance with section 112(2AB) of the *Customs Act 1901*. In the event that your exportation is prohibited, you will be notified in accordance with the requirements set out in the provision of the *Customs Act 1901*.

Please quote reference number DOD/ [REDACTED] s47E(d) on any correspondence relating to this permit.

s22  
[REDACTED]

Sally Rempel  
Assistant Director

Date of issue: [REDACTED] s47E(d)

**Defence Export Control Office**

R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66  
Email: deco@defence.gov.au

PERMIT NUMBER DOD/ [REDACTED] s47E(d)

s22



----- Original Message -----

From: s47G  
Received: s47E(d)  
To: Defence Export Controls  
Cc: s47E(d)  
Subject: Copy of Certificate s47G



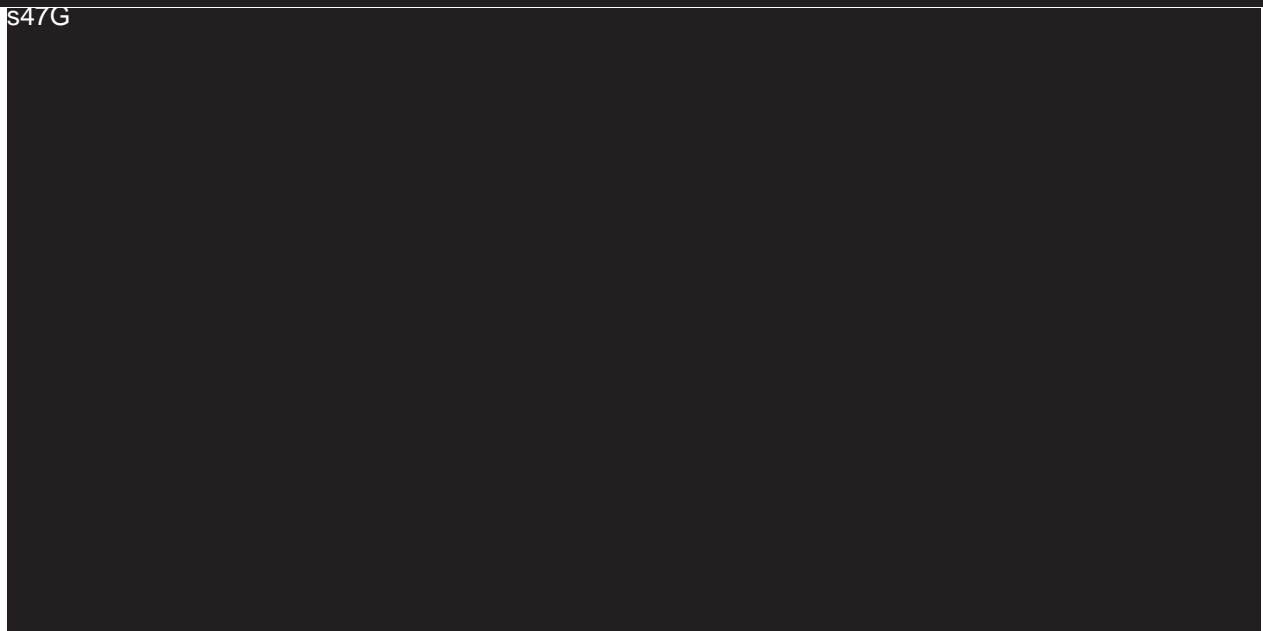
Good Afternoon,

Thankful if you are able to provide me with a copy of the export certificate for goods being delivered to s47G. The request was originally made by s47G.  
s47G

Reference number to assist: 2015 107777

Thankful for your earliest response.

Kind Regards,



s22



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Application To Export or Supply Controlled Goods and Technology

### INFORMATION ABOUT THE APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

This form should be completed if you are seeking

- an approval to export goods or supply technology,
- to renew an existing permit, or
- an in-principle approval assessment.

This application will be subject to an assessment against the following legislation:

- Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*
- *Defence Trade Controls Act 2012*
- *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995* (WMD Act)
- Section 112BA of the *Customs Act 1901*

If you are unsure whether the supply activity is controlled by the Defence Trade Controls Act, you should first complete the online Activity Questionnaire at <https://dsgl.defence.gov.au>.

You should familiarise yourself with the United Nations and Australian Government's implementation of sanctions against certain countries and entities. Information is available on the Department of Foreign Affairs and Trade website at [www.dfat.gov.au/sanctions](http://www.dfat.gov.au/sanctions).

Prior to submitting your application you should ensure you have completed each of the questions and that all of the required supporting documentation is attached. An incomplete application may result in a delay of the assessment.

### COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The personal information you provide in this application form is being collected by the Department of Defence for the purpose of administering export control legislation including the *Customs Act 1901*, the *Defence Trade Controls Act 2012* and the *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995*.

The information you provide will be used to assess your application. Defence may also use the information when undertaking other export control functions including compliance activities, and to contact you with information regarding export controls. Defence may provide some or all of the information to other Australian Government agencies involved in the administration of export control legislation including the Australian Customs and Border Protection Service, the Department of Foreign Affairs and Trade, the Department of Industry, law enforcement and intelligence agencies. In some circumstances, Defence may be required to provide some of this information to Australia's international regime partners in order to fulfil Australia's reporting and consultation responsibilities. Defence will not disclose your personal information beyond these circumstances unless authorised to do so by law.

The Department of Defence has a Defence Privacy Policy. The Defence Privacy Policy contains information on how an individual may apply for access to their personal information and how an individual may apply to have their personal information amended. The Defence Privacy Policy also contains information for individuals on how to make a privacy complaint to Defence if they consider Defence may have breached the Australian Privacy Principles. A copy of the Defence Privacy Policy can be found at [www.defence.gov.au](http://www.defence.gov.au). For more information, the Defence Privacy Officer can be contacted by email at [defence.privacy@defence.gov.au](mailto:defence.privacy@defence.gov.au).

If you require further assistance please contact DECO by telephone on 1800 66 10 66 or by email at [deco@defence.gov.au](mailto:deco@defence.gov.au).



# APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Applicant Details

Your applicant name and DECS Client Registration Number (DCRN) details will be matched against our client records. Your client record details will appear on permits and other correspondence produced by DECO.

To enable DECO to seek further information during the assessment process, you must include details of a nominated contact person. This person should be able to discuss the technical attributes of the items or technology, and other details of the application. It does not have to be the same person who is completing the application.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

DECS Client Registration Number (DCRN) \*

s47G

Registration for a DCRN must be done with a separate form available from <http://www.defence.gov.au/deco>.

Name of Applicant (Company or Individual) \*

s47G

Contact Person - First (Given) Name \*

s47G

Contact Person - Last (Family) Name \*

s47F

Position/Title \*

s47F

Contact Person - Phone \*

s47F

Contact Person - Email \*

s47F

Do you have an internal reference number for this application? \*

s47G

No

Yes, please enter your internal reference number

Are you exporting goods or technology on behalf of someone else? \*

s47G

No

Yes



## Application Purpose

You should select the description that best describes why you are submitting this application. As the assessment of your application will consider all of the relevant export control legislation, it is important that you provide as much information as possible.

You should tell us of any previous correspondence you have had with us regarding this activity. If we have issued you with a permit or reference number in our correspondence you should quote this number.

You should tell us if your application will involve the export or supply of items to a sanctioned destination or entity. We consult with the Department of Foreign Affairs and Trade on cases that are controlled by sanctions legislation.

If this application is to *renew a permit, export control assessment or in-principle approval assessment*, you do not need to complete Sections 4-13 if there are no changes to the list of Goods or Technology, the Consignees or End Users / Recipients, and the permit was issued on the Defence Export Control System (DECS) after 1 May 2013. If any of these details need to be updated in the renewal, you must complete all the questions in the application.

What is the purpose of this application? Do you want to: \*

- s47  
G Apply for a new permit
- G Seek an in-principle approval assessment
- G Apply to export or supply items that have previously been granted in-principle approval assessment
- G Renew a permit or in-principle approval assessment

Have you previously received an Outcome of Export Control Assessment letter from DECO for the goods and technology in this application?

- s47 No
- G Yes

Do you believe or suspect the goods, technology or any services to be exported or supplied may be used in a weapons of mass destruction program? \*

- s47 No
- G Yes

Are the goods or technology to be exported, or supplied, to a country or entity subject to United Nations or Australian Autonomous Sanctions? \*

- s47 No
- G Yes

**Details of Goods or Technology**

In this section you must list all the goods, software and technology (items) that are to be assessed. Use the "Add Goods or Technology" button for each new line item. For each line item you must indicate whether it will be exported or supplied as approvals are issued under two separate pieces of legislation.

**Describing your goods or technology**

- In this section you must separately list each item that is to be exported or supplied.
- When entering the items, you should enter the information as you want it to appear in the approval.
- For **physical goods**, the information should be comprehensive enough that a person can compare the approval against other commercial documentation and, if required by law or enforcement officers, the information can be matched against the physical goods.
- Where applicable you must include details of the manufacturer, make, model, serial number, NATO Stock Number, quantity, unit of measure and value. If these details are not provided, the assessment may be delayed.
- For **technology (e.g. data or software)** the information should accurately describe the actual technology that is being supplied in as much detail as possible.
- You should avoid the use of initials, acronyms or abbreviations.
- Where additional information, such as brochures or technical specification, explains the design features or capabilities of the items, these should be submitted as attachments to the application.

**Listing Military Equipment**

- If the items are parts, components or sub-assemblies of a larger military system, describe the top level system or higher level platform that they are fitted to or used in, eg i.e. "Parts and components for a specified super fast military aircraft, model type AB"
- If you require a full list of parts and components to be shown on the export permit you should attach a completed [Bulk upload file for an Application to Export Controlled Goods and Technology](#) to your application instead of entering the items directly into the application form.

**Exporting Firearms**

- The description of every firearm must include the Type, Model, Calibre and Action
- Applications must be accompanied by documentation demonstrating the ownership of the firearms and/or ammunition. For example, a firearms dealers licence, individual firearms registration and licences.
- If the owner is NOT the applicant (i.e. an agent), written authority is required from the owner to export the firearm and / or ammunition, as well as the owner's proof of ownership and a firearm licence.
- If the firearms or ammunition are to be exported to a foreign government, a completed DEC04 End-User and Non-Transfer Certificate must be submitted.
- If the firearms or ammunition are to be exported to private individuals overseas, an import permission, issued by the foreign government, or a completed DEC09 Firearm / Ammunition End-User Certificate should be submitted to verify the end-users.

Manufacturer and Model number	Serial Number (if applicable)	NATO Stock Number (if applicable)
s47G		
Quantity	Unit of Measure	Value (AUD) or Added Value
s47G		

**Full Description of the Goods or Technology (Max 1,000 Characters)**

The item description you enter in this field will be the description of the item that is displayed in your permit.

Note: For Firearms include Type, Model, Calibre and Action or For Chemicals include Chemical Name, CAS Number, Composition and Concentration

s47G

Will this item be \*

- Exported
- Supplied

Is the item software or technology?

The software or technology may be in either tangible (to be exported) form or intangible (to be supplied) form

- Software
- Technology
- Neither

Is the item in the public domain?

Software and technology is in the public domain if it can be downloaded, purchased by anyone, or accessed by anyone without any restrictions.

- No
- Yes
- Unsure

Is the item for the installation, operation, maintenance or repair of goods (including software and technology)?

This could include

- a user manual or basic repair manual
- software normally supplied with an exported good, such as an operating system - including firmware
- a diagnostic program for fault finding in the goods

- No
- Yes

Is the technology basic scientific research?

Basic scientific research is experimental or theoretical work undertaken principally to acquire new knowledge of the fundamental principles or phenomena or observable facts, not primarily directed towards a specific practical aim or objective.

This means technology that in itself constitutes basic scientific research, or is the outcome of basic scientific research.

- No
- Yes

Is the technology for an actual patent application?

Technology that is in a form required for submission to a patent office, and is being submitted to a patent office, may not be controlled.

- No
- Yes

Are the goods or technology specially designed for military use? \*

- No
- Yes

Is the item specially designed for medical end-use?

- No
- Yes

Is the item, or will the item be, incorporated into medical equipment?

Medical equipment is equipment that that is specially designed for medical end-use.

- No
- Yes

Add Goods or Technology

Are there Goods and Technology listed on a separate "Bulk Line Items" list?

A "Bulk Line Items" list spreadsheet can be used where you need to separately describe a large number of goods and technology for assessment. The spreadsheet can be downloaded from the Forms section at <http://www.defence.gov.au/DECO/Forms.asp>

- No
- Yes

**Export or Supply of Goods and Technology**

Export permits are required when controlled goods or technology are transhipped through Australia. Transhipment occurs when items are brought to Australia on a ship or aircraft, and the items are transferred to another ship or aircraft which departs Australia for the subsequent destination.

Certain technologies, including software, relating to controlled items require an export permit. If you will be providing further software releases or patches, these may be controlled. You must tell us about these so we can assess if you will require permit. In the details, you should explain the function of this software or firmware, including if it will provide an additional capability or if it incorporates encryption.

Information on the factors that we consider when assessing if items may have a 'military end-use' are listed in the Frequently Asked Questions of our website.

Select the option which best describes why the goods or technology will be exported or supplied \*

- s47  
G
- For use by the consignee or end user
  - Academic research or international collaboration efforts
  - To be on-sold by a distributor or retailer
  - Temporary basis - demonstration or exhibit
  - Temporary basis - use in a shooting competition or recreational activity
  - Being returned to the Original Equipment Manufacturer or their agent (includes for repair, or at the expiration of the original equipment)
  - Expiration of a foreign Government temporary export license
  - Being returned to the owner after repair, modification, demonstration or exhibition in Australia
  - Being exported or supplied under a Defence contract
  - For integration into a larger assembly and subsequent return to Australia
  - For tender evaluation or testing
  - Transhipment of controlled items through Australia
  - Other

Will you be exporting or supplying future software or firmware releases? \*

- s47  
G
- No
  - Yes

**Export Details**

Typically tangible items are exported when sent overseas by ship, aircraft, post, courier, or as checked-in or hand-held luggage. It includes software and technology, such as diagrams and notes, that are sent overseas in a medium such as CD, DVD, USB, computer hard drive or on paper.

Exports can be permanent (eg for sale to a foreign buyer), or temporary (eg for demonstration, exhibition, use at a conference or workshop, repair by the original equipment manufacturer, or for competition and sporting activities).

Will any of the goods or technology be exported?

- s47  
G
- No
  - Yes

You will need to tell us if the export will be a one-off shipment, or you require a permit for multiple shipments so we can determine the validity period for the permit.

What is the expected date of export?

s47G

Will there be more than one shipment?

- s47  
G
- No
  - Yes

How will the goods or technology be exported?

- s47  
G
- Air Cargo
  - Carried by a person (e.g. checked-in or hand carried luggage, documents, CD, laptop or USB)
  - Post
  - Sea Cargo
  - Other (provide further details (Max 1,000 Characters))

**Supply Details**

Methods of supplying software or technology can include, but is not limited to:

- email
- fax
- electronic messaging (SMS, MMS)
- uploading software or technology to a server
- document sharing or online collaboration tools
- cloud computing

It can also include providing access through the forwarding of a login ID and password, or a link to a server or cloud facility that contains software or technology, and at the time of providing the access, the recipient is not in Australia.

If you believe the recipient already has knowledge of the software or technology being supplied, this is still a 'supply'.

Will any technology be supplied?

- No
- Yes

What is the expected date of supply (if known)?

s47G [Redacted]

Over what period of time will the supply occur (if known)?

s47G [Redacted]

How will the technology be supplied?

- Email
- Fax
- Made available for download from website owned by applicant
- Made available for download via site not owned by applicant
- Made available for download from a secure or restricted access server or cloud facility
- Other, please specify (Max 1,000 Characters)

s47G [Redacted]

**Consignee Details**

A "consignee" is usually a third party, or an intermediary, who is part of the supply chain for the export of goods, or they could be the end user of the goods. A "consignee" is less likely to be involved in the supply of technology.

If you are exporting the goods for your own use overseas, or will retain control of goods exported temporarily, your name and overseas contact details must be provided in this section.

Post Office boxes are not acceptable.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name	Number and Street Name	Suburb/Town	State
s47G [Redacted]	s47G [Redacted]	s47G [Redacted]	s4 [Redacted]
Postcode	Country	Contact - Name	Contact - Phone
[Redacted]	s47G [Redacted]	[Redacted]	[Redacted]
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title
[Redacted]	[Redacted]	[Redacted]	[Redacted]

Add Consignee

**End-User/Recipient Details**

The End-User/Recipient will be the persons, companies or research/tertiary institutions that will receive and/or use the goods and technology. In most cases, the End-User / Recipient will be located overseas at the time the export or supply occurs.

For items being sent overseas for repair, maintenance or testing, the End-User will usually be the name of the Australian owner of the goods or the entity the goods will be returned to.

If the End-User/Recipient is a large organisation, government agency or institution, you should identify the department or section within the larger entity, eg "School of Engineering, Knoxside University". If the names of the End-Users are unknown, such as when items are sent to a distributor, then enter "Unknown" in the Name field. If you will use the goods overseas, include your details.

Post Office boxes are not acceptable.

International phone numbers should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name *	Number and Street Name	Suburb/Town	State
s47G	s47G	s47G	s4
Postcode	Country *	Contact - Name	Contact - Phone
s47G	s47G		
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title

Add End-User

**Consignee/End-User/Recipient Questions**

To conduct our assessment of your application, we need to understand what you know about the parties who will be receiving the goods and technology, and how they intend to use them.

If your application includes the export of goods or technology, you should submit an applicable End-Use Certificate, Statement by Ultimate Consignee or Purchaser, Import Permission issued by the foreign government, Work Order, Repair Order or Purchase Order.

Is the consignee/end-user/recipient in an associated/related industry to you?

s4 No  
7G Yes

Provide further details (Max 1,000 Characters)

s47G

Have you exported or supplied goods or technology to the consignee/end-user/recipient previously?

s4 No  
7G Yes

Provide further details including over what period of time (Max 1,000 Characters)

s47G

Will the goods or technology be used for military purposes?

Goods will be used for military purposes "if the goods are or may be for use in operations, exercises or other activities conducted by an armed force or an armed group, whether or not the armed force or armed group forms part of the armed forces of the government of a foreign country".

s4 No  
7G Yes

How will the end-user/recipient utilise these items and/or technology? (Max 1,000 Characters)

s47G

Do you have evidence from the end-user/recipient verifying how they will use the goods or technology, or the purpose for which they are receiving the goods or technology?

s47  
G

No  
Yes, you must attach evidence with your application

### Third Party/Foreign Government Approvals

Some countries have strict requirements on the re-export of goods they permit to be exported. One example is the United States of America. Prior to submitting your application, you should check with your supplier to confirm whether your items are subject to any requirement to obtain approval for re-export of the items.

If approval is required, you should submit evidence of that Third Country clearance. These may include documents such as a:

- Technical Assistance Agreement (TAA)
- Manufacturing Licence Agreement (MLA)
- Foreign Military Sale documentation
- a US DSP-5
- Government approval letter
- Warehouse and Distribution Licence, or
- Foreign export licence.

Are the goods or technology, including any embedded technology, data or equipment, subject to re-export controls by a foreign government, such as the United States International Traffic in Arms Regulations (ITAR) or the United States Export Administration Regulations (EAR)?

**Note: Third Party clearances must be attached to this application \***

s47  
G

No  
Yes, specify the country

Were the goods or technology previously subject to the Australian-US Defense Trade Cooperation Treaty? \*

s47  
G

No  
Yes, what is the Approved Community Identification (ACID) number for this facility?

### Activity Related to Australian Government Business

You must tell us if the goods or technology are owned, or were supplied to you, by the Australian Government. The provision of Government contact details will allow us to determine if approval is required from another part of the Australian Government before we can finalise our assessment.

Is the export or supply of the goods and technology related to Australian Government business? \*

s47  
G

No  
Yes

Government Representative - Name

s47G

Government Representative - Department/Work Area

Government Representative - Position/Title

Government Representative - Phone

Government Representative - Email

Will the export or supply of the goods and technology involve the release of any Australian Government classified information or equipment?

s47  
G

No  
Yes, provide details of classification level, at what stages it will be released and by whom (Max 1,000 Characters)

**Note: Defence approval must be attached to this application.**

Is the export or supply of the goods and technology related to an Australian or foreign Government tender process or existing contract?

s47  
G

No  
Yes, provide further details (Max 1,000 Characters)

### Further Information

If there is any further information that you believe we should know about in assessing your application, and we have not asked you about it, you should provide those details here. If there is insufficient space you can include those details as an attachment to your application.

Do you wish to provide additional information in support of your application? \*

s47  
G

No  
Yes

**Statement by Applicant**

**ACKNOWLEDGE**

In applying to the Department of Defence, you consent that the information provided in this application may be provided to other Australian Government agencies.

Any person who makes a false or misleading statement in an application may be prosecuted for an offence under Section 136.1 of the *Criminal Code Act 1995*. If found guilty, penalties (including imprisonment) may be imposed by the court.

**STATEMENT BY APPLICANT**

I certify that the information in this application is true and correct. \*

I will promptly notify the Defence Export Control Office of any changes relating to the information provided in this application. \*

Use contact person details from section one?

First Name *	Last Name *	Position/Title *
s47F	s47F	s47F
Email *	Contact Phone Number *	Date (Auto-completed on submission)
s47F	s47F	s47F

Submit





**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Application To Export or Supply Controlled Goods and Technology

### INFORMATION ABOUT THE APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

This form should be completed if you are seeking

- an approval to export goods or supply technology,
- to renew an existing permit, or
- an in-principle approval assessment.

This application will be subject to an assessment against the following legislation:

- Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*
- *Defence Trade Controls Act 2012*
- *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995* (WMD Act)
- Section 112BA of the *Customs Act 1901*

If you are unsure whether the supply activity is controlled by the Defence Trade Controls Act, you should first complete the online Activity Questionnaire at <https://dsgl.defence.gov.au>.

You should familiarise yourself with the United Nations and Australian Government's implementation of sanctions against certain countries and entities. Information is available on the Department of Foreign Affairs and Trade website at [www.dfat.gov.au/sanctions](http://www.dfat.gov.au/sanctions).

Prior to submitting your application you should ensure you have completed each of the questions and that all of the required supporting documentation is attached. An incomplete application may result in a delay of the assessment.

### COLLECTION, USE AND DISCLOSURE OF PERSONAL INFORMATION

The personal information you provide in this application form is being collected by the Department of Defence for the purpose of administering export control legislation including the *Customs Act 1901*, the *Defence Trade Controls Act 2012* and the *Weapons of Mass Destruction (Prevention of Proliferation) Act 1995*.

The information you provide will be used to assess your application. Defence may also use the information when undertaking other export control functions including compliance activities, and to contact you with information regarding export controls. Defence may provide some or all of the information to other Australian Government agencies involved in the administration of export control legislation including the Australian Customs and Border Protection Service, the Department of Foreign Affairs and Trade, the Department of Industry, law enforcement and intelligence agencies. In some circumstances, Defence may be required to provide some of this information to Australia's international regime partners in order to fulfil Australia's reporting and consultation responsibilities. Defence will not disclose your personal information beyond these circumstances unless authorised to do so by law.

The Department of Defence has a Defence Privacy Policy. The Defence Privacy Policy contains information on how an individual may apply for access to their personal information and how an individual may apply to have their personal information amended. The Defence Privacy Policy also contains information for individuals on how to make a privacy complaint to Defence if they consider Defence may have breached the Australian Privacy Principles. A copy of the Defence Privacy Policy can be found at [www.defence.gov.au](http://www.defence.gov.au). For more information, the Defence Privacy Officer can be contacted by email at [defence.privacy@defence.gov.au](mailto:defence.privacy@defence.gov.au).

If you require further assistance please contact DECO by telephone on 1800 66 10 66 or by email at [deco@defence.gov.au](mailto:deco@defence.gov.au).



# APPLICATION TO EXPORT OR SUPPLY CONTROLLED GOODS AND TECHNOLOGY

**Australian Government**  
**Department of Defence**  
Defence Export Control Office

## Applicant Details

Your applicant name and DECS Client Registration Number (DCRN) details will be matched against our client records. Your client record details will appear on permits and other correspondence produced by DECO.

To enable DECO to seek further information during the assessment process, you must include details of a nominated contact person. This person should be able to discuss the technical attributes of the items or technology, and other details of the application. It does not have to be the same person who is completing the application.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

DECS Client Registration Number (DCRN) \*

s47G

Registration for a DCRN must be done with a separate form available from <http://www.defence.gov.au/deco>.

Name of Applicant (Company or Individual) \*

s47G

Contact Person - First (Given) Name \*

s47F

Contact Person - Last (Family) Name \*

s47F

Position/Title \*

s47F

Contact Person - Phone \*

s47F

Contact Person - Email \*

s47F

Do you have an internal reference number for this application? \*

s4  
7G

No

Yes, please enter your internal reference number

Are you exporting goods or technology on behalf of someone else? \*

s47  
G

No

Yes

## Application Purpose

You should select the description that best describes why you are submitting this application. As the assessment of your application will consider all of the relevant export control legislation, it is important that you provide as much information as possible.

You should tell us of any previous correspondence you have had with us regarding this activity. If we have issued you with a permit or reference number in our correspondence you should quote this number.

You should tell us if your application will involve the export or supply of items to a sanctioned destination or entity. We consult with the Department of Foreign Affairs and Trade on cases that are controlled by sanctions legislation.

If this application is to *renew a permit, export control assessment or in-principle approval assessment*, you do not need to complete Sections 4-13 if there are no changes to the list of Goods or Technology, the Consignees or End Users / Recipients, and the permit was issued on the Defence Export Control System (DECS) after 1 May 2013. If any of these details need to be updated in the renewal, you must complete all the questions in the application.

What is the purpose of this application? Do you want to: \*

- s47G Apply for a new permit
- 7G Seek an in-principle approval assessment
- Apply to export or supply items that have previously been granted in-principle approval assessment
- Renew a permit or in-principle approval assessment

Have you previously received an Outcome of Export Control Assessment letter from DECO for the goods and technology in this application?

- s47G No
- G Yes

Do you believe or suspect the goods, technology or any services to be exported or supplied may be used in a weapons of mass destruction program? \*

- s47G No
- G Yes

Are the goods or technology to be exported, or supplied, to a country or entity subject to United Nations or Australian Autonomous Sanctions? \*

- s47G No
- G Yes

**Details of Goods or Technology**

In this section you must list all the goods, software and technology (items) that are to be assessed. Use the "Add Goods or Technology" button for each new line item. For each line item you must indicate whether it will be exported or supplied as approvals are issued under two separate pieces of legislation.

**Describing your goods or technology**

- In this section you must separately list each item that is to be exported or supplied.
- When entering the items, you should enter the information as you want it to appear in the approval.
- For **physical goods**, the information should be comprehensive enough that a person can compare the approval against other commercial documentation and, if required by law or enforcement officers, the information can be matched against the physical goods.
- Where applicable you must include details of the manufacturer, make, model, serial number, NATO Stock Number, quantity, unit of measure and value. If these details are not provided, the assessment may be delayed.
- For **technology (e.g. data or software)** the information should accurately describe the actual technology that is being supplied in as much detail as possible.
- You should avoid the use of initials, acronyms or abbreviations.
- Where additional information, such as brochures or technical specification, explains the design features or capabilities of the items, these should be submitted as attachments to the application.

**Listing Military Equipment**

- If the items are parts, components or sub-assemblies of a larger military system, describe the top level system or higher level platform that they are fitted to or used in, eg i.e. "Parts and components for a specified super fast military aircraft, model type AB"
- If you require a full list of parts and components to be shown on the export permit you should attach a completed [Bulk upload file for an Application to Export Controlled Goods and Technology](#) to your application instead of entering the items directly into the application form.

**Exporting Firearms**

- The description of every firearm must include the Type, Model, Calibre and Action
- Applications must be accompanied by documentation demonstrating the ownership of the firearms and/or ammunition. For example, a firearms dealers licence, individual firearms registration and licences.
- If the owner is NOT the applicant (i.e. an agent), written authority is required from the owner to export the firearm and / or ammunition, as well as the owner's proof of ownership and a firearm licence.
- If the firearms or ammunition are to be exported to a foreign government, a completed DEC04 End-User and Non-Transfer Certificate must be submitted.
- If the firearms or ammunition are to be exported to private individuals overseas, an import permission, issued by the foreign government, or a completed DEC09 Firearm / Ammunition End-User Certificate should be submitted to verify the end-users.

Manufacturer and Model number	Serial Number (if applicable)	NATO Stock Number (if applicable)
s47G		
Quantity	Unit of Measure	Value (AUD) or Added Value
s47G		

**Full Description of the Goods or Technology (Max 1,000 Characters)**

The item description you enter in this field will be the description of the item that is displayed in your permit.

Note: For Firearms include Type, Model, Calibre and Action or For Chemicals include Chemical Name, CAS Number, Composition and Concentration

s47G

Will this item be \*

s47 G  
 xported  
 upplied

Is the item software or technology?

The software or technology may be in either tangible (to be exported) form or intangible (to be supplied) form

s47  
G software  
echnology  
either

Is the item in the public domain?

Software and technology is in the public domain if it can be downloaded, purchased by anyone, or accessed by anyone without any restrictions.

s47  
G No  
Yes  
Unsure

Is the item for the installation, operation, maintenance or repair of goods (including software and technology)?

This could include

- a user manual or basic repair manual
- software normally supplied with an exported good, such as an operating system - including firmware
- a diagnostic program for fault finding in the goods

s47  
G No  
Yes

Is the technology basic scientific research?

Basic scientific research is experimental or theoretical work undertaken principally to acquire new knowledge of the fundamental principles or phenomena or observable facts, not primarily directed towards a specific practical aim or objective.

This means technology that in itself constitutes basic scientific research, or is the outcome of basic scientific research.

s4  
7G No  
Yes

Is the technology for an actual patent application?

Technology that is in a form required for submission to a patent office, and is being submitted to a patent office, may not be controlled.

s47  
G No  
Yes

Are the goods or technology specially designed for military use? \*

s47  
G No  
Yes

Is the item specially designed for medical end-use?

s47  
G No  
Yes

Is the item, or will the item be, incorporated into medical equipment?

Medical equipment is equipment that that is specially designed for medical end-use.

s47  
G No  
Yes

Add Goods or Technology

Are there Goods and Technology listed on a separate "Bulk Line Items" list?

A "Bulk Line Items" list spreadsheet can be used where you need to separately describe a large number of goods and technology for assessment. The spreadsheet can be downloaded from the Forms section at <http://www.defence.gov.au/DECO/Forms.asp>

s4  
7G No  
Yes

**Export or Supply of Goods and Technology**

Export permits are required when controlled goods or technology are transhipped through Australia. Transhipment occurs when items are brought to Australia on a ship or aircraft, and the items are transferred to another ship or aircraft which departs Australia for the subsequent destination.

Certain technologies, including software, relating to controlled items require an export permit. If you will be providing further software releases or patches, these may be controlled. You must tell us about these so we can assess if you will require permit. In the details, you should explain the function of this software or firmware, including if it will provide an additional capability or if it incorporates encryption.

Information on the factors that we consider when assessing if items may have a 'military end-use' are listed in the Frequently Asked Questions of our website.

Select the option which best describes why the goods or technology will be exported or supplied \*

- s4  
7G
- For use by the consignee or end user
  - Academic research or international collaboration efforts
  - To be on-sold by a distributor or retailer
  - Temporary basis - demonstration or exhibit
  - Temporary basis - use in a shooting competition or recreational activity
  - Being returned to the Original Equipment Manufacturer or their agent (includes for repair, or at the expiration of the original equipment)
  - Expiration of a foreign Government temporary export license
  - Being returned to the owner after repair, modification, demonstration or exhibition in Australia
  - Being exported or supplied under a Defence contract
  - For integration into a larger assembly and subsequent return to Australia
  - For tender evaluation or testing
  - Transhipment of controlled items through Australia
  - Other

Will you be exporting or supplying future software or firmware releases? \*

- s4  
7G
- No
  - Yes

**Export Details**

Typically tangible items are exported when sent overseas by ship, aircraft, post, courier, or as checked-in or hand-held luggage. It includes software and technology, such as diagrams and notes, that are sent overseas in a medium such as CD, DVD, USB, computer hard drive or on paper.

Exports can be permanent (eg for sale to a foreign buyer), or temporary (eg for demonstration, exhibition, use at a conference or workshop, repair by the original equipment manufacturer, or for competition and sporting activities).

Will any of the goods or technology be exported?

- s47  
G
- No
  - Yes

You will need to tell us if the export will be a one-off shipment, or you require a permit for multiple shipments so we can determine the validity period for the permit.

What is the expected date of export?

s47G

Will there be more than one shipment?

- s47  
G
- No
  - Yes

How will the goods or technology be exported?

- s47  
G
- Air Cargo
  - Carried by a person (e.g. checked-in or hand carried luggage, documents, CD, laptop or USB)
  - Post
  - Sea Cargo
  - Other (provide further details (Max 1,000 Characters))

**Supply Details**

Methods of supplying software or technology can include, but is not limited to:

- email
- fax
- electronic messaging (SMS, MMS)
- uploading software or technology to a server
- document sharing or online collaboration tools
- cloud computing

It can also include providing access through the forwarding of a login ID and password, or a link to a server or cloud facility that contains software or technology, and at the time of providing the access, the recipient is not in Australia.

If you believe the recipient already has knowledge of the software or technology being supplied, this is still a 'supply'.

Will any technology be supplied?

- No
- Yes

What is the expected date of supply (if known)?

s47G

Over what period of time will the supply occur (if known)?

s47G

How will the technology be supplied?

- Email
- Fax
- Made available for download from website owned by applicant
- Made available for download via site not owned by applicant
- Made available for download from a secure or restricted access server or cloud facility
- Other, please specify (Max 1,000 Characters)

s47G

**Consignee Details**

A "consignee" is usually a third party, or an intermediary, who is part of the supply chain for the export of goods, or they could be the end user of the goods. A "consignee" is less likely to be involved in the supply of technology.

If you are exporting the goods for your own use overseas, or will retain control of goods exported temporarily, your name and overseas contact details must be provided in this section.

Post Office boxes are not acceptable.

**International phone numbers** should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name	Number and Street Name	Suburb/Town	State
s47G	s47G	s47G	s4
Postcode	Country	Contact - Name	Contact - Phone
	s47G		
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title

Add Consignee

**End-User/Recipient Details**

The End-User/Recipient will be the persons, companies or research/tertiary institutions that will receive and/or use the goods and technology. In most cases, the End-User / Recipient will be located overseas at the time the export or supply occurs.

For items being sent overseas for repair, maintenance or testing, the End-User will usually be the name of the Australian owner of the goods or the entity the goods will be returned to.

If the End-User/Recipient is a large organisation, government agency or institution, you should identify the department or section within the larger entity, eg "School of Engineering, Knoxside University". If the names of the End-Users are unknown, such as when items are sent to a distributor, then enter "Unknown" in the Name field. If you will use the goods overseas, include your details.

Post Office boxes are not acceptable.

International phone numbers should be entered with a "+", then the International Direct Dialing Prefix (eg 0011), then the Country Code, followed by the phone, fax or mobile number, eg +001118884074747

Name *	Number and Street Name	Suburb/Town	State
s47G	s47G	s47G	s4
Postcode	Country *	Contact - Name	Contact - Phone
s47G	s47G		
Contact - Fax	Contact - Email	Contact - Website	Contact - Position or Title

Add End-User

**Consignee/End-User/Recipient Questions**

To conduct our assessment of your application, we need to understand what you know about the parties who will be receiving the goods and technology, and how they intend to use them.

If your application includes the export of goods or technology, you should submit an applicable End-Use Certificate, Statement by Ultimate Consignee or Purchaser, Import Permission issued by the foreign government, Work Order, Repair Order or Purchase Order.

Is the consignee/end-user/recipient in an associated/related industry to you?

s4 No  
7G Yes

Provide further details (Max 1,000 Characters)

s47G

Have you exported or supplied goods or technology to the consignee/end-user/recipient previously?

s4 No  
7G Yes

Provide further details including over what period of time (Max 1,000 Characters)

s47G

Will the goods or technology be used for military purposes?

Goods will be used for military purposes "if the goods are or may be for use in operations, exercises or other activities conducted by an armed force or an armed group, whether or not the armed force or armed group forms part of the armed forces of the government of a foreign country".

s47 No  
G Yes

How will the end-user/recipient utilise these items and/or technology? (Max 1,000 Characters)

s47G



Do you have evidence from the end-user/recipient verifying how they will use the goods or technology, or the purpose for which they are receiving the goods or technology?

- No
- Yes, you must attach evidence with your application

### Third Party/Foreign Government Approvals

Some countries have strict requirements on the re-export of goods they permit to be exported. One example is the United States of America. Prior to submitting your application, you should check with your supplier to confirm whether your items are subject to any requirement to obtain approval for re-export of the items.

If approval is required, you should submit evidence of that Third Country clearance. These may include documents such as a:

- Technical Assistance Agreement (TAA)
- Manufacturing Licence Agreement (MLA)
- Foreign Military Sale documentation
- a US DSP-5
- Government approval letter
- Warehouse and Distribution Licence, or
- Foreign export licence.

Are the goods or technology, including any embedded technology, data or equipment, subject to re-export controls by a foreign government, such as the United States International Traffic in Arms Regulations (ITAR) or the United States Export Administration Regulations (EAR)?

**Note: Third Party clearances must be attached to this application \***

- No
- Yes, specify the country

Were the goods or technology previously subject to the Australian-US Defense Trade Cooperation Treaty? \*

- No
- Yes, what is the Approved Community Identification (ACID) number for this facility?

### Activity Related to Australian Government Business

You must tell us if the goods or technology are owned, or were supplied to you, by the Australian Government. The provision of Government contact details will allow us to determine if approval is required from another part of the Australian Government before we can finalise our assessment.

Is the export or supply of the goods and technology related to Australian Government business? \*

- No
- Yes

Government Representative - Name

Government Representative - Department/Work Area

Government Representative - Position/Title

Government Representative - Phone

Government Representative - Email

Will the export or supply of the goods and technology involve the release of any Australian Government classified information or equipment?

- No
  - Yes, provide details of classification level, at what stages it will be released and by whom (Max 1,000 Characters)
- Note: Defence approval must be attached to this application.**

Is the export or supply of the goods and technology related to an Australian or foreign Government tender process or existing contract?

- No
- Yes, provide further details (Max 1,000 Characters)

### Further Information

If there is any further information that you believe we should know about in assessing your application, and we have not asked you about it, you should provide those details here. If there is insufficient space you can include those details as an attachment to your application.

Do you wish to provide additional information in support of your application? \*

- No
- Yes

**Statement by Applicant**

**ACKNOWLEDGE**

In applying to the Department of Defence, you consent that the information provided in this application may be provided to other Australian Government agencies.

Any person who makes a false or misleading statement in an application may be prosecuted for an offence under Section 136.1 of the *Criminal Code Act 1995*. If found guilty, penalties (including imprisonment) may be imposed by the court.

**STATEMENT BY APPLICANT**

I certify that the information in this application is true and correct. \*

I will promptly notify the Defence Export Control Office of any changes relating to the information provided in this application. \*

Use contact person details from section one?

First Name *	Last Name *	Position/Title *
s47F	s47F	s47F
Email *	Contact Phone Number *	Date (Auto-completed on submission)
s47F	s47F	s47G

Submit

s22

----- Original Message -----

From: Defence Export Controls

Received: s47E(d)

To: s47G

Cc:

Subject: Acknowledgement of receipt of application s47E(d) [SEC=UNCLASSIFIED]

**UNCLASSIFIED**

Dear Sir/Madam,

I refer to your application for the Export of Controlled Goods and Technology, with your reference number 'Not Supplied', submitted on s47E(d)

Your application has been received and has been issued with the reference number s47E(d)

If you contact us by phone, you will be asked for the application reference number.

Information on the time it takes us to assess your application can be found on our website. You should note that sensitive cases may require a longer period of time to be assessed.

If you feel you have any additional information that may benefit or support your application, you should reply to this email leaving the subject line unchanged. This helps us to link your email to the application.

Yours sincerely,

Defence Export Control Office  
R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66

Email: [deco@defence.gov.au](mailto:deco@defence.gov.au)

Internet: [www.defence.gov.au/deco](http://www.defence.gov.au/deco)

s22



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**PERMIT FOR THE EXPORT OF GOODS**

This export permit is granted in accordance with Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*.

Permit Number:	<b>DOD</b> s47E(d)
Permit Holder:	s47G
Date of expiry:	This export Permit authorises the export of the listed goods up to and including s47E(d)
This Permit is for:	s47G
The Approved Goods listed in this Permit are for:	
Approved Goods:	
Consignees:	
End-Users:	
Approved Destinations:	

**Information about this permit:**

1. The only approved exports are those specified in this permit.
2. This permit number should be quoted on all declarations made to the Australian Customs and Border Protection Service for items exported under this permit.

3. In accordance with the Section 240(1A) of the *Customs Act 1901*, you are required to keep all the relevant commercial documents relating to exports of these goods for 5 years from the date of exportation.
4. The export of these goods may be prohibited by the Minister in accordance with section 112(2AB) of the *Customs Act 1901*. In the event that your exportation is prohibited, you will be notified in accordance with the requirements set out in the provision of the *Customs Act 1901*.

Please quote reference number DOD s47E(d) on any correspondence relating to this permit.

s22

John Toohey  
Director, Defence Export Control Office

Date of issue: s47E(d)

**Defence Export Control Office**

R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66

Email: deco@defence.gov.au



**Australian Government**  
**Department of Defence**  
Defence Export Control Office

**PERMIT FOR THE EXPORT OF GOODS**

This export permit is granted in accordance with Regulation 13E of the *Customs (Prohibited Exports) Regulations 1958*.

Permit Number:	<b>DOD</b> s47E(d)
Permit Holder:	s47G
Date of expiry:	This export Permit authorises the export of the listed goods up to and including s47E(d)
This Permit is for:	s47G
The Approved Goods listed in this Permit are for:	
Approved Goods:	
Consignees:	
End-Users:	
Approved Destinations:	

**Information about this permit:**

1. The only approved exports are those specified in this permit.
2. This permit number should be quoted on all declarations made to the Australian Customs and Border Protection Service for items exported under this permit.

3. In accordance with the Section 240(1A) of the *Customs Act 1901*, you are required to keep all the relevant commercial documents relating to exports of these goods for 5 years from the date of exportation.
4. The export of these goods may be prohibited by the Minister in accordance with section 112(2AB) of the *Customs Act 1901*. In the event that your exportation is prohibited, you will be notified in accordance with the requirements set out in the provision of the *Customs Act 1901*.

Please quote reference number DOD s47E(d) on any correspondence relating to this permit.

s22

John Toohey  
Director, Defence Export Control Office

Date of issue: s47E(d)

**Defence Export Control Office**

R1-1-A037  
PO Box 7901  
CANBERRA BC ACT 2610

Tel: 1800 66 10 66

Email: deco@defence.gov.au

s22

----- Original Message -----

From: s47F

Received: s47E(d)

To: Defence Export Controls Applications

Subject: Application To Export Controlled Goods and Technology [DLM=For-Official-Use-Only]

For-Official-Use-Only

Before submitting this application you should save a copy for your own records. If you have created a separate spreadsheet file for the purposes of listing multiple items for inclusion in your application, you must attach this file.

As well as your application, you should attach any supporting documentation that is required. This may include:

\* Technical specifications and/or brochures; \* Purchase Order; \* Firearms licence and/or registration certificate; \* End-User Certificates or Statements; \* Foreign government import/export approvals; \* Government approval to release classified material; \* Any outcome documents obtained from assessments conducted on the Online DSGI Tool; \* Spreadsheet listing "Bulk Line Items"; \* Evidence of an intention to demonstrate the goods or technology (such as an invitation to display); and/or \* Additional information or statements

NOTE: You should not attach any Australian Government security-classified material to this email. Please contact DECO to discuss how to transmit any classified material if required.

Once your application has been successfully received, you will be advised via email of the reference number for your application

For-Official-Use-Only

s22



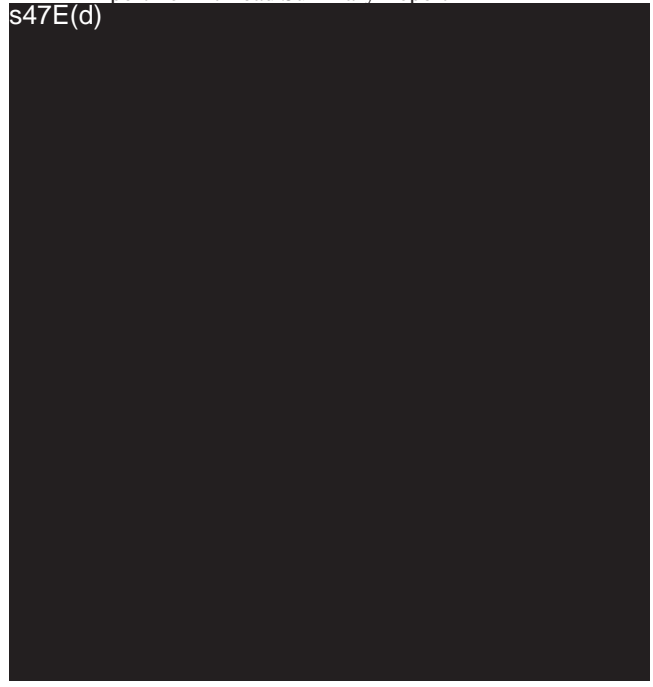
s22



----- Original Message -----

From: s47G  
Received: s47E(d)  
To: Defence Export Controls  
Cc: s47G  
Subject: P1- EXPORT PERMIT REPORT- NO ERRORS DETECTED - s47E(d)

==== Export Permit Load Summary Report ====



s22

