

47E

Recommendations:

97. In light of the findings of this investigation, it is recommended that:

- 47E is provided with a copy of the investigation report 47E
47E & 47F
-
- 47E is provided with a copy of the report 47F
47F
- Deputy Chiefs of the three services are advised of the issues identified in relation to excessive hours being worked by some 47F
- DFC is notified of the findings for the purpose of reviewing the fraud risks.

s22(1)(b)(ii)

James Williams
ADI
CP2-1-163

26 July 2016

s22(1)(b)(ii)

Terry Riley s22(1)(b)(ii)
ADI
CP2-1-154

s22(1)
(b)(ii)

Attachment:

A. FCIB Investigation Report



INVESTIGATION PLAN

DPSMS Reference: INV-DWS01-DI-2014-6

Defence Corporate File No: 2014/115727

1. SITUATION

1.1 Background

- s47E(d)
- Date Received: 4 March 2014
- Source of Information: Commonwealth Ombudsman

On 3 March 2014, the Commonwealth Ombudsman allocated a disclosure pursuant to the *Public Interest Disclosure Act 2013* to the Department of Defence (Defence). The disclosure related to an allegation s47E(d)

acted inappropriately by awarding contracts to associates and by asking subordinates to back date a contract to cover travel costs incurred s47G.

s47E(d)

s47E(d)

1.2 Potential Offences / Breaches

The potential criminal offences initially identified are:

- Abuse of public office – s.142.2 of the *Criminal Code Act 1995*
- False or misleading information – s.137.1 of the *Criminal Code Act 1995*

The potential policy or procedural breaches initially identified are:

- Commonwealth Procurement Rules
- Defence Procurement Policy Manual
- Defence Instructions (General) PERS 25-6 Conflicts of interest and declarations of interests

1.3 Jurisdiction

Jurisdiction for any criminal offences arising from this matter rests with the Commonwealth Director of Public Prosecutions – Melbourne Office.

Jurisdiction for any administrative breaches arising from this matter rests with Defence Public Service Delegate.

1.4 Persons of Interest

s47E(d)

1.5 Anticipated Duration

Depending on competing case priorities it is intended this investigation will be completed by 25 November 2014.

1.6 Outside Agencies or Departments

- Commonwealth Director of Public Prosecutions
- Australian Federal Police

1.7 Internal Branches Involved

FCPE

The Fraud Risk Assessment and Fraud Control Plan will be assessed in light of the matter under investigation.

Directorate of Fraud Control Planning, Analysis and Forensics is to be utilised for IT captures and analysis.

1.8 Special Arrangements

Nil.

1.9 Top Cover

It is recommended that the Vice Chief of the Defence Force, VADM Raymond Griggs is the appropriate Top Cover. VADM Griggs' telephone number is 02 6265 2856. His email address is ray.griggs@defence.gov.au. VADM Griggs is not aware of the matter.

ACTION:

Top Cover briefed on:

Signed:

Comments:

2. MISSION

s47E(d)



2.1 Focusing Questions

s47E(d)



3. EXECUTION

3.1 Concept of Execution

The investigation consists of three phases.

Phase 1

- Review and assessment.
- Discuss case with the Recovery Unit to establish debt recovery status and responsibilities.

Phase 2

- Interview witnesses.
- Execute search warrants (if required).

- Afford persons of interest opportunity to participate in an interview.
- Evaluate material and conduct follow up.
- Consult with the Recovery Unit to confirm if a debt exists and if so, whether the debtor has accepted responsibility for the debt.

Phase 3

- Compile a brief of evidence (if sufficient evidence exists).
- Compile a brief for Code of Conduct action (if sufficient evidence exists).
- Compile an investigation report affording procedural fairness to affected individuals.
- Compile a management report.

4. ADMINISTRATION AND LOGISTICS**4.1.1 Costing the Investigation**

PHASE 1 - ITEM	COST
TOTAL:	\$0
Investigator days:	14

PHASE 2 - ITEM	COST
Return Flights (CBR-MEL) – 2 Investigators	\$1,400
Accommodation (MEL) – 2 Investigators / 4 nights	\$1,500
Meals and Incidentals (MEL) – 2 Investigators / 5 days	\$1,100
Car Hire (MEL) – 5 Days	\$450
TOTAL:	\$4,450
Investigator days:	55

PHASE 3 - ITEM	COST
Transcription	\$3,000
TOTAL:	\$3,000
Investigator days:	21

TOTAL	COST
TOTAL:	\$7,450
Investigator days:	90

5. COMMAND AND COMMUNICATIONS**5.1 Investigators**

The investigation team composition is as follows.

- Primary Case Officer: James Williams
- Secondary Case Officer: Carl Jarvis

5.2 Recording System

The course of the investigation will be recorded on the DPSMS system. Critical Decisions will be made in conjunction with the Investigations Management Team.

5.3 Communication

There are no specific communication needs.

Investigators can be contacted on listed office and mobile telephone numbers.

5.4 Security

All members will adhere to the Standard Security guidelines.

6. RISK ASSESSMENT

6.1 WHS

The following work health and safety risks with treatment options have been identified:

No specific risks have been identified. Risks will be treated if and when they arise.

6.2 Discloser

The following risks to the discloser and treatment options have been identified:

Risk:

The discloser has made an allegation of bullying and harassment in the workplace as a result of making a disclosure.

Treatment:

The Deputy Secretary Defence Support and Reform, Mr Steve Grzeskowiak, has reviewed the allegation of bullying and harassment and has made an undertaking to review any future allegations.

James Williams
Assistant Director Investigations

Date / /

6

Jason Woods
DIGD-IR

RECOMMENDED / NOT RECOMMENDED

Date / /

DIGD-IR COMMENT:

Michael Callan
IGD

APPROVED / NOT APPROVED

Date / /

IGD COMMENT:

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INVESTIGATION PLAN

Variation 1

DPSMS Reference: INV-DWS01-DI-2014-6

Defence Corporate File No: 2014/115727

1. SITUATION

1.1 Background

- s47E(d)
- Date Received: 19 May 2015
- Source of Information: Protected Discloser

On 19 May 2015, information was received by the Inspector General of Defence (IGD), outlining widespread wrongdoing and mismanagement, within s47E(d)

The information was provided by a protected discloser. The discloser outlined the following:

s47E(d)

[Redacted content]

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s47E(d)



1.2 Potential Offences

The potential criminal offences initially identified are:

- Abuse of public office – s.142.2 of the Criminal Code Act
- Obtaining a financial advantage by deception – s134.2 of the Criminal Code Act
- General dishonesty – s.135.1 of the Criminal Code Act
- Obtaining a financial advantage – s135.2 of the Criminal Code Act
- Conspiracy to defraud – s.135.4 of the Criminal Code Act

The potential policy or procedural breaches initially identified are:

- Commonwealth Procurement Rules
- Defence Procurement Policy Manual
- Defence Instructions (General) PERS 25-6 Conflicts of interest and declaration of interests
- Defence Instructions (General) PERS 25-4 Notification of post separation employment

1.3 Jurisdiction

Jurisdiction for any criminal offences arising from this matter rests with the Commonwealth Director of Public Prosecutions – Melbourne Office.

Jurisdiction for any administrative breaches arising from this matter rests with the Defence Public Service Delegate.

1.4 Persons of Interest

s47E(d)



s47E(d)



1.5 Anticipated Duration

It is anticipated the investigation will be complete on 23 October 2015.

1.6 Outside Agencies or Departments

- Commonwealth Director of Public Prosecutions
- Australian Federal Police

1.7 Internal Branches Involved

Audit Branch

Audit Branch will assist by providing the most recent s47E(d) audit report and other information as it becomes available.

Fraud Control Policy and Ethics

The Fraud Risk Assessment and Fraud Control Plan will be assessed in light of the matter under investigation.

1.8 Special Arrangements

Nil.

1.9 Top Cover

It is recommended that the Vice Chief of the Defence Force, VADM Raymond Griggs remains the appropriate Top Cover. VADM Griggs' telephone number is 02 6265 2856. His email address is ray.griggs@defence.gov.au.

ACTION:

Top Cover briefed on:

Signed:

Comments:

2. MISSION

To investigate a number of allegations that s47E(d) were conducted improperly.

2.1 Focusing Questions

- What were the circumstance surrounding s47E(d) ?
- Was the conduct of any APS employee, Defence member, contractor or civilian, involved in s47E(d)
- Does evidence exist of criminal or administrative misconduct?
- Is there a debt owed to the Commonwealth?

3. EXECUTION

3.1 Concept of Execution

The investigation consists of three phases.

Phase 1

- Review and assessment.
- Discuss case with the Recovery Unit to establish debt recovery status and responsibilities.

Phase 2

- Interview witnesses.
- Execute search warrants (if required).
- Afford persons of interest opportunity to participate in an interview.
- Evaluate material and conduct follow up.
- Consult with the Recovery Unit to confirm if a debt exists and if so, whether the debtor has accepted responsibility for the debt.

Phase 3

- Compile a brief of evidence (if sufficient evidence exists).
- Compile a brief for Code of Conduct action (if sufficient evidence exists).
- Compile an investigation report affording procedural fairness to affected individuals.
- Compile a management report.

4. COMMAND AND COMMUNICATIONS

4.1 Investigators

The investigation team composition is as follows.

- Primary Case Officer: James Williams
- Secondary Case Officers: Carl Jarvis and Terry Riley

4.2 Recording System

The course of the investigation will be recorded on the DPSMS system. Critical Decisions will be made in conjunction with the Investigations Management Team.

4.3 Communication

There are no specific communication needs.

Investigators can be contacted on listed office and mobile phone numbers.

4.4 Security

All members will adhere to the Standard Security guidelines.

5. RISK ASSESSMENT

5.1 Work Health and Safety

The following work health and safety risks with treatment options have been identified:

No specific risks have been identified. Risks will be treated if and when they arise.

5.2 Discloser

Risk: The discloser fears reprisals and/or unfair dismissal as a result of making a disclosure.

Treatment: The discloser has been informed to notify the case officer of any reprisals. The case officer, in consultation with the IGD, will address any issues if and when they arise. The discloser is also aware of other support services, including the Employee Assistance Program (EAP).

James Williams
Assistant Director Investigations

Date / /

Jason Woods
DIGD-IR

RECOMMENDED / NOT RECOMMENDED

Date / /

DIGD-IR COMMENT:

Michael Callan
IGD

APPROVED / NOT APPROVED

Date / /

IGD COMMENT:

DPSMS: INV-DWS01-DI-2014-6

Assessment of issues raised s47E(d)
s47E(d)

Issue 1

s47E(d) and s47F

Issue: s47E(d)

s47E(d)

Issue 2

s47E(d) & 47F

Issue: s47F failed to declare a conflict of interest when s47F sole
sourced the mentioned contractors.

s47E(d)

Issue 3

s47E(d) & 47F

Issue: s47F failed to declare a conflict of
interest after being employed s47E(d)
s47E(d), 47F and 47G

s47E(d)

Issue 4

s47E(d) & 47F

Issue: s47E(d) sole sourced s47E(d)
s47E(d)

Issue 5

s47E(d) & 47F

Issue: s47E(d) & 47F sole sourced an un-named s47E(d) & 47G

s47E(d)

Issue 6

s47E(d) & 47F

Issue: s47E(d) & 47F
s47E(d) creating a potential perceived bias.

s47E(d)

Issue 7

s47E(d) & 47F

Issue: s47E(d) & 47F
s47E(d) & 47F creating a potential perceived bias.

s47E(d)

Issue 8

s47E(d) & 47F

Issue: s47E(d) & 47F
s47E(d), 47G & 47F colluded with s47E(d) & 47G

s47E(d) & 47F

Issue 9

s47E(d) & 47F

Issue: s47E(d) & 47F
s47E(d) & 47F failed to conduct a diligent audit s47E(d)

s47E(d) & 47F

Issue 10

s47E(d) & 47F

Issue: s47E(d) & 47F
paying s47E(d) & 47G committed Defence to

s47E(d)

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Issue 11

s47E(d) & 47F

Issue:

s47E(d) & 47F

to be paid to s47E(d) & 47G

s47E(d)

at the end of the final s47E(d)

s47E(d)

s47E(d)

s22

James Williams
ADI

16 July 2015

Comment: / agree with this assessment and the recommended course of action. Please raise an update investigation plan to account for the new allegations and a minute to Audit Branch to refer the audit issues to them.

Jason Woods
DIGD-IR

17 July 2015 s22

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~~FOR OFFICIAL USE ONLY~~

INVESTIGATION PLAN

Variation 1

DPSMS Reference: INV-DWS01-DI-2014-6

Defence Corporate File No: 2014/115727

1. SITUATION

1.1 Background

- s47E(d) [REDACTED]
- Date Received: 19 May 2015
- Source of Information: Protected Discloser

On 19 May 2015, information was received by the Inspector General of Defence (IGD), outlining widespread wrongdoing and mismanagement, within s47E(d) [REDACTED]
s47E(d) [REDACTED]

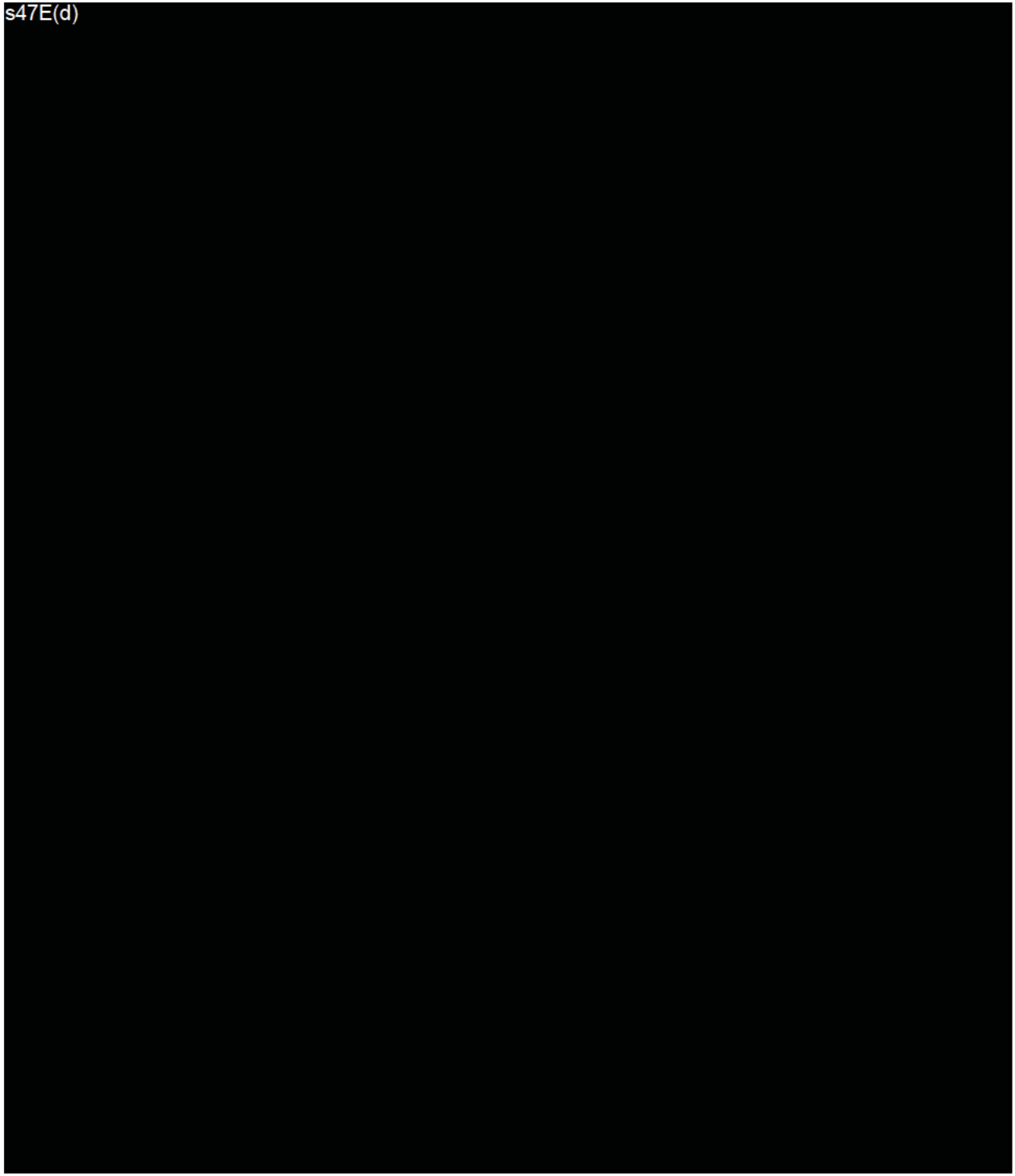
The information was provided by a protected discloser. The discloser outlined the following:

s47E(d)

[REDACTED]

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s47E(d)



1.2 Potential Offences

The potential criminal offences initially identified are:

- Abuse of public office – s.142.2 of the Criminal Code Act
- Obtaining a financial advantage by deception – s134.2 of the Criminal Code Act
- General dishonesty – s.135.1 of the Criminal Code Act
- Obtaining a financial advantage – s135.2 of the Criminal Code Act
- Conspiracy to defraud – s.135.4 of the Criminal Code Act

The potential policy or procedural breaches initially identified are:

- Commonwealth Procurement Rules
- Defence Procurement Policy Manual
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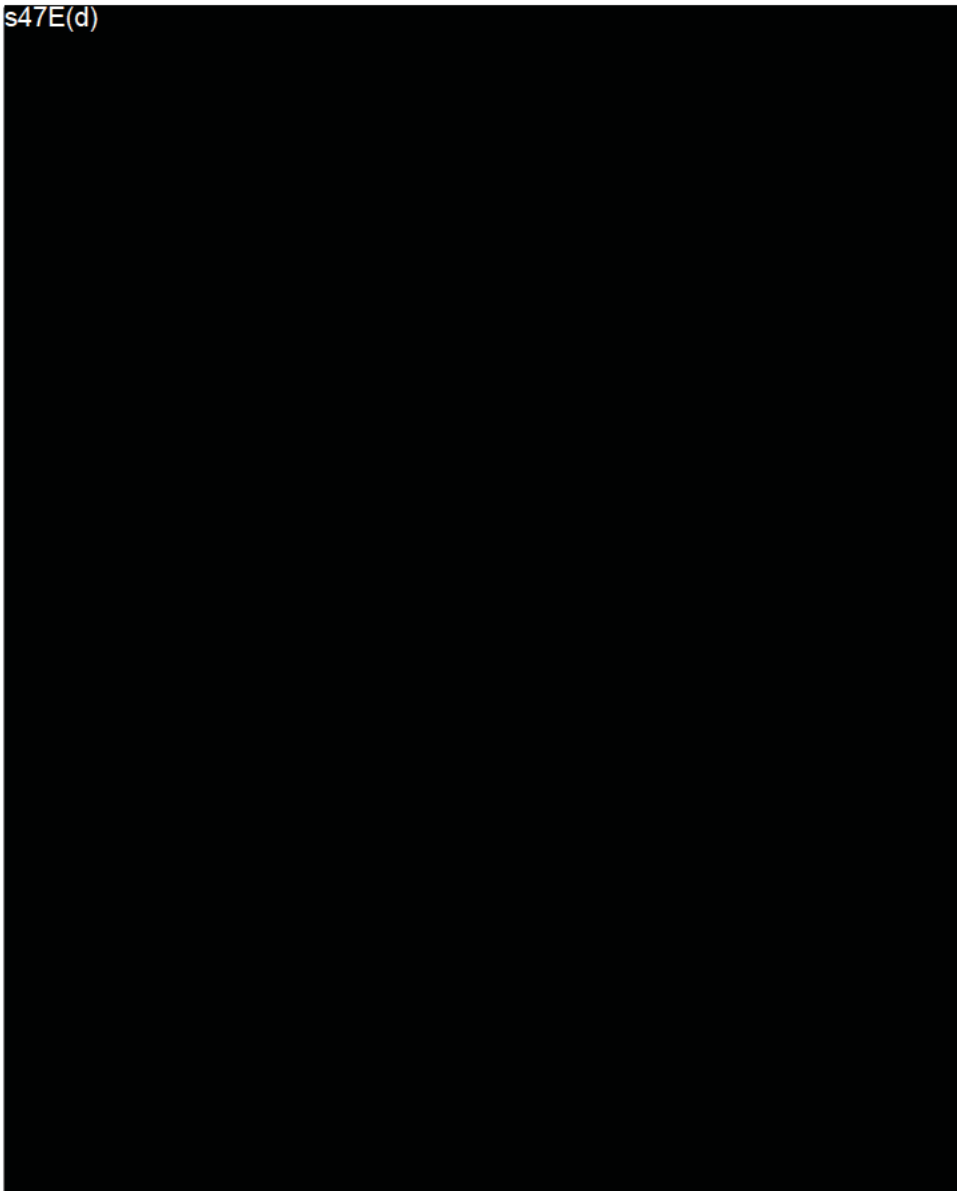
1.3 Jurisdiction

Jurisdiction for any criminal offences arising from this matter rests with the Commonwealth Director of Public Prosecutions – Melbourne Office.

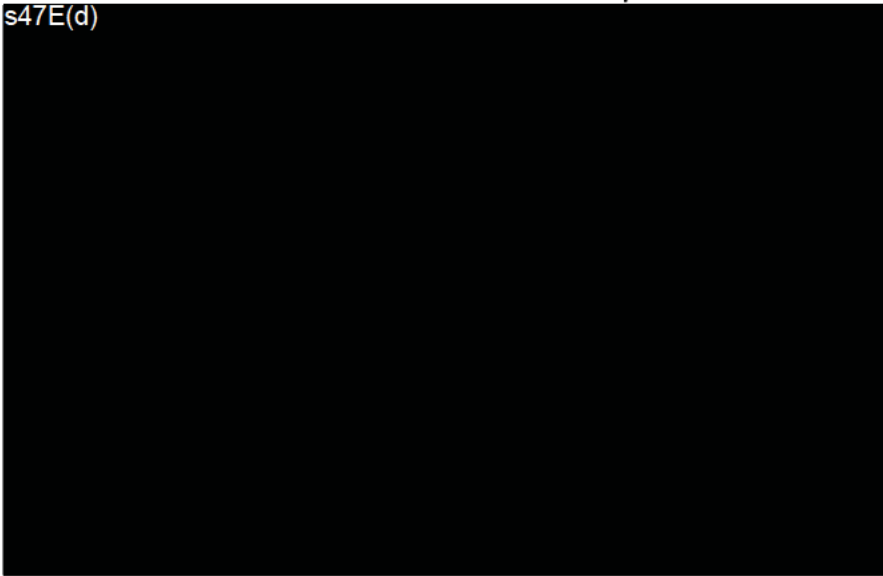
Jurisdiction for any administrative breaches arising from this matter rests with the Defence Public Service Delegate.

1.4 Persons of Interest

s47E(d)



s47E(d)



1.5 Anticipated Duration

It is anticipated the investigation will be complete on 23 October 2015.

1.6 Outside Agencies or Departments

- Commonwealth Director of Public Prosecutions
- Australian Federal Police

1.7 Internal Branches Involved

Audit Branch

Audit Branch will assist by providing the most recent **s47E(d)** audit report and other information as it becomes available.

Fraud Control Policy and Ethics

The Fraud Risk Assessment and Fraud Control Plan will be assessed in light of the matter under investigation.

1.8 Special Arrangements

Nil.

1.9 Top Cover

It is recommended that the Vice Chief of the Defence Force, VADM Raymond Griggs remains the appropriate Top Cover. VADM Griggs' telephone number is 02 6265 2856. His email address is ray.griggs@defence.gov.au.

ACTION:

Top Cover briefed on: 18 Aug 2015 10:38
s22

Signed: [REDACTED]

Comments: Will inform CDF.

2. MISSION

To investigate a number of allegations that [REDACTED] s47E(d) were conducted improperly.

2.1 Focusing Questions

- What were the circumstance surrounding [REDACTED] s47E(d)
- Was the conduct of any APS employee, Defence member, contractor or civilian, involved in [REDACTED] s47E(d)
- Does evidence exist of criminal or administrative misconduct?
- Is there a debt owed to the Commonwealth?

3. EXECUTION

3.1 Concept of Execution

The investigation consists of three phases.

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5. RISK ASSESSMENT

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5.2 Discloser

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Treatment: The discloser has been informed to notify the case officer of any reprisals. The case officer, in consultation with the IGD, will address any issues if and when they arise. The discloser is also aware of other support services, including the Employee Assistance Program (EAP).

s22

James Williams
Assistant Director Investigations

Date 14 / 08 / 15

s22

Jason Woods
DIGD-IR

Date 14/8/15

DIGD-IR COMMENT:

RECOMMENDED / NOT RECOMMENDED

s22

Michael Callan
IGD

Date 17/8/15

IGD COMMENT:

APPROVED / NOT APPROVED



Australian Government
Department of Defence

Minute

Assistant Secretary Fraud Control

AFCD/ASFC/OUT/2016/617

s47E

PROBITY INVESTIGATION INTO PROCUREMENT PRACTICES WITHIN THE

s47E

INV-DWS01-DI-2014-6

1. I am writing to advise you of the outcome of an investigation into the probity of procurement practices within the s47E. The report makes a number of findings and recommendations with respect to the conduct of s47F. Two of the recommendations are specifically referred to you for consideration and action and include the following:

- s47E is provided with a copy of the investigation report s47E
- s47E & s47F

2. I have attached a copy of both the investigation report and an executive summary to assist you in your deliberations. This investigation arose as a result of a Commonwealth Ombudsman referral to my Branch following a disclosure pursuant to the *Public Interest Disclosure Act 2013* on 3 March 2014.

3. If you require further information about this matter or you would like to discuss the issues I have raised, please call me or the Director Investigation and Recovery, Mr Jason Woods on 02 62664322. I would appreciate it if you could advise me of the outcome of your deliberations.

s22(1)(b)(ii)

Debbie Pollard
A/Assistant Secretary Fraud Control
CP3-2-011
Telephone: 02 6266 4857

24 Oct 2016

Attachments:

- A. FCIB Investigation Report dated 26 July 2016
- B. Executive Summary to FCIB Investigation Report dated 26 July 2016



Australian Government
Department of Defence

Minute

Assistant Secretary Fraud Control

AFCD/ASFC/OUT/2016/616

s47E(d)

PROBITY INVESTIGATION INTO PROCUREMENT PRACTICES WITHIN THE

s47E(d)

INV-DWS01-DI-2014-6

1. I am writing to advise you of the outcome of an investigation into the probity of procurement practices within the s47E(d) [REDACTED]. The report makes a number of findings and recommendations with respect to the conduct of s47F [REDACTED]. One of the recommendations is specifically referred to you for consideration and action as follows:

- s47E(d) & 47F [REDACTED]

2. I have attached a copy of both the investigation report and an executive summary to assist you in your deliberations. This investigation arose as a result of a Commonwealth Ombudsman referral to my Branch following a disclosure pursuant to the *Public Interest Disclosure Act 2013* on 3 March 2014.

3. If you require further information about this matter or you would like to discuss the issues I have raised, please call me or the Director Investigation and Recovery, Mr Jason Woods on 02 62664322. I would appreciate it if you could advise me of the outcome of your deliberations.

s22 [REDACTED]

Debbie Pollard
A/Assistant Secretary Fraud Control
CP3-2-011
Telephone: 02 6266 4857

24 Oct 2016

Attachments:

- A. FCIB Investigation Report dated 26 July 2016
- B. Executive Summary to FCIB Investigation Report dated 26 July 2016

Davidson, Melissa MRS

From: s47E(d)
Sent: Wednesday, 4 October 2017 5:44 PM
To: Williams, James MR 9
Subject: s47E(d)

~~Sensitive~~

Hi James

As discussed, in light of you seeking identification of action taken, s47E(d)

[REDACTED]

[REDACTED]

Allegation 2: s47E(d) & [REDACTED] failed to declare a conflict of interest when exercising delegation to approve contracts for s47E(d) & 47F s47E(d)

[REDACTED]

Allegation 4: s47E(d) & [REDACTED] failed to submit a letter of notification prior to being engaged by s47E(d)

[REDACTED]

Allegation 12: s47E(d) & [REDACTED] failed to comply with the provisions of the s47E(d) and s47F Standing Offer s47E(d)

[REDACTED]

Please let me know if you need more.
cheers

s47E(d)

[REDACTED]

IMPORTANT: This email remains the property of the Department of Defence and is subject to the jurisdiction of section 70 of the Crimes Act 1914. If you have received this email in error, you are requested to contact the sender and delete the email.

From: Williams, James MR 9
Sent: Wednesday, 13 September 2017 6:37 AM
To: s47E(d)
Subject: s47E(d)

~~Sensitive~~

Thank you s47E and s47F

I also need to know, what if any action was taken in relation to the other sustained findings:

Allegation 2: s47E(d) & 47F failed to declare a conflict of interest when exercising delegation to approve contracts for s47E(d) & 47F

Allegation 4: s47E(d) & 47F failed to submit a letter of notification prior to being engaged by s47E(d) and 47F

Allegation 12: s47E(d) & 47F failed to comply with the provisions of the s47E(d) and 47F Offer

I appreciate your help.

James

IMPORTANT: This email remains the property of the Department of Defence and is subject to the jurisdiction of section 70 of the Crimes Act 1914. If you have received this email in error, you are requested to contact the sender and delete the email.

From: s47E(d)
Sent: Tuesday, 12 September 2017 4:03 PM
To: Williams, James MR 9
Subject: s47E(d)

~~Sensitive~~

Hi James

s47E(d)

Cheers

s47E and s47F

IMPORTANT: This email remains the property of the Department of Defence and is subject to the jurisdiction of section 70 of the Crimes Act 1914. If you have received this email in error, you are requested to contact the sender and delete the email.

From: Williams, James MR 9
Sent: Tuesday, 12 September 2017 3:28 PM
To: s47E(d)
Subject: s47E(d)

~~Sensitive~~

Good afternoon s47E and s47F

I know it has been a while since we last discussed this matter but are you able to tell me what action s47E(d)

My apologies if a response has already been provided to ASFC; however, I am trying to finalise the DPSMS record and an outcome has not been recorded.

Regards

James

James Williams

Assistant Director Receipt and Assessment
Manager Defence Public Interest Disclosure Scheme
Fraud Control and Investigations Branch
Audit and Fraud Control Division
Department of Defence

✉ CP2-1-157 | Campbell Park Offices | Northcott Drive | ACT 2610
☎ (02) 6266 2746
📧 james.williams9@defence.gov.au

We promote Integrity, Accountability and Efficiency in Defence

IMPORTANT: This email remains the property of the Department of Defence and is subject to the jurisdiction of section 70 of the Crimes Act 1914. If you have received this email in error, you are requested to contact the sender and delete the email.

From: s47E(d)
Sent: Wednesday, 8 March 2017 6:19 AM
To: Clarke, Meryl MRS
Cc: Woods, Jason MR; Williams, James MR 9; s47E(d)
Subject: s47E(d)

~~Sensitive~~

Thanks Meryl.

IMPORTANT: This email remains the property of the Department of Defence and is subject to the jurisdiction of section 70 of the Crimes Act 1914. If you have received this email in error, you are requested to contact the sender and delete the email.

From: Clarke, Meryl MRS
Sent: Tuesday, 7 March 2017 9:43 AM
To: s47E(d)
Cc: Woods, Jason MR; Williams, James MR 9; s47E(d)
Subject: s

~~Sensitive~~

s47E(d)

James has made contact with s47E(d) and we will together on this.

regards

Meryl Clarke

Assistant Secretary Fraud Control
Audit and Fraud Control Division
Department of Defence

✉ CP3-2-011 | Campbell Park Offices | Northcott Drive | ACT 2610

☎ (02) 6266 4857 | s22

Fax: (02) 6266 4593

✉ meryl.clarke@defence.gov.au

From: s47E(d)

Sent: Sunday, 26 February 2017 16:14

To: Clarke, Meryl MRS

Cc: Woods, Jason MR; Williams, James MR 9; s47E(d)

Subject: s47E(d)

Meryl,

Thanks. I appreciate the clarification of the current status of this matter, and also the advice on the procedural fairness and natural justice processes used within FCIB. The quality of the investigative processes of your team was never in question from me, rather the management of potentially affected persons was not clear given my potential role to impose administrative or other action. I'm therefore grateful for your advice, including that personnel have been contacted by your team and that others will be contacted shortly that a finding of "not sustained" has been made.

s47E(d)

Cheers,

s47E(d)

On 13 Feb. 2017, at 01:09, Clarke, Meryl MRS <meryl.clarke@defence.gov.au> wrote:

~~Sensitive~~

s47E(d)

I apologise. I had a draft email which I thought I sent to you. I'm sorry for not getting back to you.

After speaking with you in November I can confirm that my team contacted the personnel involved and spoke to them in accordance with your request. That action was completed.

s47E(d)



s47E(d)

s47E(d) However, those that were subject to an allegation that had a finding of 'not sustained' have not as yet been

advised formally of such conclusions. For each person affected, such advice will be provided.

Please let me know if this information is sufficient.

regards

Meryl Clarke

Assistant Secretary Fraud Control
Audit and Fraud Control Division
Department of Defence

✉ CP3-2-011 | Campbell Park Offices | Northcott Drive | ACT 2610

☎ (02) 6266 4857 | s22

Fax: (02) 6266 4593

✉ meryl.clarke@defence.gov.au

From: s47E(d)
Sent: Saturday, 11 February 2017 17:00
To: Clarke, Meryl MRS
Cc: Woods, Jason MR; Williams, James MR 9; s47E(d)
Subject: s

~~**Sensitive**~~

Meryl,

Thank you. I must admit that I'm a little surprised (and confused) by your email given our discussions during our meeting of 9 Nov 16. I checked with s47E(d), who was also in that meeting. We are both of the understanding that you were going to consider the points that we raised during our discussions and that you were subsequently going to respond by email as to the way ahead. As I recall, you were mostly happy with our observations and agreed that some changes in language would assist implementation. As a consequence, and consistent with what I thought was our agreement, I have taken no action pending your response. This is what I had in mind when we briefly discussed this matter the other day.

Cheers,

s47E(d)



From: Clarke, Meryl MRS
Sent: Friday, 10 February 2017 15:19
To: s47E(d)

Cc: Woods, Jason MR; Williams, James MR 9
Subject: s47E(d)

~~Sensitive~~

s47E(d)

As you know, my Branch completed an investigation (26 July 2016) into a number of probity and procurement issues within s47E(d)

The investigation made a number of adverse findings concerning s47F

On 24 October 2016, Acting Assistant Secretary Fraud Control (ASFC), Ms Debbie Pollard, forwarded you the investigation report concerning the s47E(d) including the findings, for your deliberation. Ms Pollard also requested that you notify this office of the outcome of your deliberations.

Prior to FCIB closing the investigation and as a matter of course, I would appreciate it if you could provide me with the details of any action that has been commenced or taken against s47F

thank you

Meryl Clarke
Assistant Secretary Fraud Control
Audit and Fraud Control Division
Department of Defence

✉ CP3-2-011 | Campbell Park Offices | Northcott Drive | ACT 2610

☎ (02) 6266 4857 | s22

Fax: (02) 6266 4593

📧 meryl.clarke@defence.gov.au

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s47E(d)

From: s47E(d)
Sent: Monday, 24 October 2016 5:54 PM
To: s47E(d)
Subject: s47E(d) Executive Summary and Investigation Report - FCIB[SEC=PROTECTED, DLM=Sensitive]
Attachments: Image20161024132356-m1.pdf; Image20161024132322-m1.pdf

~~PROTECTED Sensitive~~

-----Original Message-----

From: Riley, Terry MR
Sent: Monday, 24 October 2016 13:40
To: s47E(d)
Subject: s47E(d) Executive Summary and Investigation Report - FCIB[SEC=PROTECTED, DLM=Sensitive]

~~PROTECTED Sensitive~~

s47E(d)

Please find attached the two documents referred to in my earlier email. The first image is the Investigation Report and the second is the Executive Summary.

Regards

Terry Riley
Assistant Director
Investigations and Recovery
Fraud Control and Investigations Branch

02 62662610

s22

CP2-1-154

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s47E(d)

From: s47E(d)
Sent: Monday, 24 October 2016 5:59 PM
To: Riley, Terry MR
Cc: Brown, Geoffrey MR 4; Pollard, Deborah MS; s47E(d)
Subject: RE: 20161024 ASFC to s47E(d) [SEC=UNCLASSIFIED]
Attachments: 20161024 ASFC to s47E(d) 2.pdf

UNCLASSIFIED

Terry,

Thank you for this and your other email (enclosing the reports). I simply wish to acknowledge receipt for now, pending my detailed review of the documents.

s47E(d)

Cheers,

s47E(d)

From: Riley, Terry MR
Sent: Monday, 24 October 2016 13:38
To: s47E(d)
Subject: 20161024 ASFC to s47E(d) [SEC=UNCLASSIFIED]

UNCLASSIFIED

s47E(d)

Please find attached correspondence from the ASFC regarding the outcome an investigation into s47E(d). There are two attachments related to this correspondence that I will send separately. They are an Executive Summary of the investigation report and the investigation report itself.

Regards

Terry Riley

Assistant Director
Investigations and Recovery
Fraud Control and Investigations Branch

02 62662610

s22

CP2-1-154

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s47E(d)

From: s47E(d)
Sent: Monday, 24 October 2016 5:54 PM
To: s47E(d)
Subject: FW: 20161024 ASFC s47E(d) [DLM - For Official Use Only]
Attachments: 20161024 ASFC to s47E(d) 2.pdf

~~For Official Use Only~~

From: Riley, Terry MR
Sent: Monday, 24 October 2016 13:38
To: s47E(d)
Subject: 20161024 ASFC to s47E(d) [SEC=UNCLASSIFIED]

UNCLASSIFIED

s47E(d)

Please find attached correspondence from the ASFC regarding the outcome an investigation into s47E(d). There are two attachments related to this correspondence that I will send separately. They are an Executive Summary of the investigation report and the investigation report itself.

Regards

Terry Riley
Assistant Director
Investigations and Recovery
Fraud Control and Investigations Branch

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s47E(d)

From: s47E(d)
Sent: Tuesday, 8 November 2016 1:27 PM
To: s47E(d)
Subject: FW: s47E Executive Summary and Investigation Report - FCIB [SEC=PROTECTED, DLM=Sensitive]
Attachments: RE: 20161024 ASFC s47E(d) [SEC=UNCLASSIFIED]; FW: s47E Executive Summary and Investigation Report - FCIB [SEC=PROTECTED, DLM=Sensitive]; FW: 20161024 ASFC to s47E(d) [DLM - For Official Use Only]

~~PROTECTED Sensitive~~

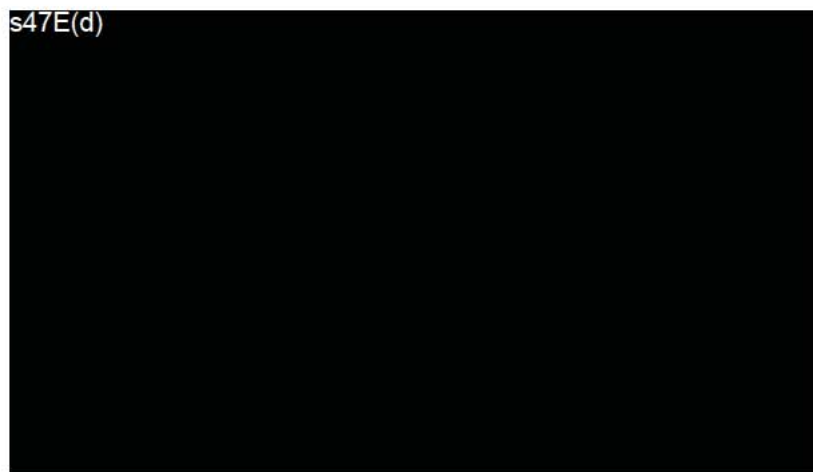
Sir,

Please find attached the documents as requested.

I will also send through my response to s47E(d) last Friday.

Regards

s47E(d)



~~PROTECTED Sensitive~~

-----Original Message-----

From: Riley, Terry MR
Sent: Monday, 24 October 2016 13:40
To: s47E(d)
Subject: s47E Executive Summary and Investigation Report - FCIB [SEC=PROTECTED, DLM=Sensitive]

~~PROTECTED Sensitive~~

s47E(d)

Please find attached the two documents referred to in my earlier email. The first image is the Investigation Report and the second is the Executive Summary.

Regards

Terry Riley
Assistant Director
Investigations and Recovery
Fraud Control and Investigations Branch

02 62662610

s22

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