

s22

MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION	MANDATORY QUESTION
SELECT FROM THE FOLLOWING OPTIONS	SPECIFY DIVISION THAT NON-COMPLIANCE RELATES TO	SPECIFY BUSINESS UNIT THAT NON-COMPLIANCE RELATES TO	SPECIFY DATE THAT NON-COMPLIANCE OCCURRED	SPECIFY NAME OF BEST POINT OF CONTACT RELATED TO THE NON-COMPLIANCE	SPECIFY NAME OF OFFICIAL WHO COMMITTED THE NON-COMPLIANCE	SPECIFY PMKEYS NO. OR ODS NO. OF PERSON WHO COMMITTED THE NON-COMPLIANCE	SPECIFY DATE WHEN CORRECTIVE ACTION WAS TAKEN	CLEARLY EXPLAIN THE TYPE OF CORRECTIVE ACTION TAKEN	CLEARLY EXPLAIN WHAT THE NON-COMPLIANCE IS AND WHAT HAPPENED TO CAUSE THE NON-COMPLIANCE	SELECT FROM THE FOLLOWING OPTIONS AS IT RELATES TO CREDIT CARD MISUSE: (NOTE IF NON-COMPLIANCE DOES NOT RELATE TO INADVERTENT/ACCIDENTAL MISUSE OF A CREDIT CARD SELECT AND INSERT N/A)	SPECIFY \$ VALUE RELATED TO NON-COMPLIANCE
Group	Division	Business Unit	Date of Breach	Contact Person	Employee who committed the no compliance	Employee ID or ODS No. of person who committed non-compliance	Date corrective action taken	Description of corrective action	Explanation of Non Compliance	Inadvertent/accidental misuse (only applies to credit cards)	Financial Value incl. GST
GWEO	Manufacturing Division	Factory Operations and Sustainment	16-Jun-23	s47E(c)		Prescribed Official - MSP	10-Jul-25	The personnel involved in processing the escalation payment are no longer within the Contract Management Team. Following a review by the current team, the non-compliance was identified and formally reported. A Contract Change Proposal (CCP005) and Section 23 approval submission were subsequently developed and approved to retrospectively regularise the contract value increase and align the arrangement with Defence procurement and financial governance requirements.	An invoice for Contract Year 3 escalation was received and processed for payment prior to obtaining delegate approval under Section 23 of the PGPA Act to increase the contract value. As the escalation payment increased the value of the arrangement beyond the approved contract value, a revised Section 23 approval and associated Contract Change Proposal should have been completed before the payment was authorised. The payment was therefore processed contrary to Defence procurement policy and financial approval requirements.	N/A	\$8,118,942.27
GWEO	Manufacturing Division	Factory Operations and Sustainment	29-Jun-23			Prescribed Official - MSP	10-Jul-25	The personnel involved in processing the escalation payment are no longer within the Contract Management Team. Following a review by the current team, the non-compliance was identified and formally reported. A Contract Change Proposal (CCP005) and Section 23 approval submission were subsequently developed and approved to retrospectively regularise the contract value increase and align the arrangement with Defence procurement and financial governance requirements.	An invoice for Contract Year 3 escalation was received and processed for payment prior to obtaining delegate approval under Section 23 of the PGPA Act to increase the contract value. As the escalation payment increased the value of the arrangement beyond the approved contract value, a revised Section 23 approval and associated Contract Change Proposal should have been completed before the payment was authorised. The payment was therefore processed contrary to Defence procurement policy and financial approval requirements.	N/A	\$5,200,000.00
GWEO	Manufacturing Division	Factory Operations and Sustainment	31-Mar-23			s22	02-Sep-25	Project Management team were reminded of their obligations in line with Defence Policy and continuation training was provided.	Request for Quote was released prior to the Endorsement to Proceed was raised and signed by the Line Manager	N/A	\$720,636.00
GWEO	Manufacturing Division	Factory Operations and Sustainment	08-May-23				02-Sep-25	Project Management team were reminded of their obligations in line with Defence Policy and continuation training was provided.	Request for Quote was released prior to the Endorsement to Proceed was raised and signed by the Line Manager	N/A	\$296,321.00
GWEO	Manufacturing Division	Factory Operations and Sustainment	22-Dec-23				02-Sep-25	Project Management team were reminded of their obligations in line with Defence Policy and continuation training was provided.	Request for Quote was released prior to the Endorsement to Proceed was raised and signed by the Line Manager	N/A	\$249,871.35
GWEO	Manufacturing Division	Factory Operations and Sustainment	22-Dec-23				02-Sep-25	Project Management team were reminded of their obligations in line with Defence Policy and continuation training was provided.	Request for Quote was released prior to the Endorsement to Proceed was raised and signed by the Line Manager	N/A	\$276,910.91
GWEO	Manufacturing Division	Factory Operations and Sustainment	19-Apr-23				02-Sep-25	Project Management team were reminded of their obligations in line with Defence Policy and continuation training was provided.	Project Management team approved a claim for payment that was above the approved quote and therefore exceed S23 Delegation approval. Purchase Order was raised in AUD instead of Source Currency and therefore a different Exchange Rate was used.	N/A	\$631,365.00

s22

GWEO	Manufacturing Division	Factory Operations and Sustainment	14-Aug-25	s47E(c)		s22	09-Oct-25	Initial advice to CA50 – Health SPO indicated an ETP was not required due to the procurement value being under \$200K. Before the Purchase Order was issued, I identified a policy change effective 1 July 2025 lowering the ETP threshold to \$80K. I immediately halted the PO release and directed the team to complete a Risk Assessment and ETP to ensure compliance with current Defence procurement policy.	The procurement activity initially proceeded under outdated policy guidance, resulting in the omission of a required ETP due to a recent threshold change. This constituted a breach of current Defence procurement policy, which mandates an ETP for procurements exceeding \$80K as of 1 July 2025.	N/A	\$130,339.76
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	SELECT FROM THE FOLLOWING OPTIONS	
	Has corrective action been taken	
	Yes	
	Yes	
	Yes	
	Yes	
	Yes	
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