



KEY FEATURES OF AN EFFECTIVE EXPORT CONTROLS COMPLIANCE PROGRAM

An effective **export controls compliance program** is essential to mitigate risks associated with the export, supply, publishing or brokering of defence and dual-use goods, software, and technology as well as provision of certain DSGL services. A robust compliance program ensures adherence to Australia's export control laws, reduces the likelihood of breaches, provides compliance safeguards and enhances the overall reliability and reputation of the organisation.

- Regular Review and Follow-Through:** This is not a set and forget activity and requires continuous commitment for any corrective actions. 
- Organisational Structure and Chain of Responsibilities:** Establish a chain of command and ensure export responsibilities are assigned and understood. 
- Commitment to Continuous Improvement:** Regularly evaluate, seek feedback and adopt best practice. 
- Export Compliance Security:** Develop compliance considerations for supply chain security. 
- Red Flag Indicators:** Educate personnel about potential red flags. 
- Compliance Training and Educational Awareness:** Provide adequate training and awareness material to employees. Please contact DEC for further support. 
- Recordkeeping and Reporting:** Keep detailed records of all export activities and ensure permit reporting conditions are met. 
- Adequate Resources:** Allocate sufficient human and technical resources to your Export Control Compliance Program. 
- Comprehensive Risk Analysis:** Conduct risk assessments to identify compliance risks associated with export activities. 
- Documented Compliance Policies and Procedures:** All operational procedures, policies and protocols are clearly written down and adhered to. 
- Export Compliance Monitoring and Audits:** Conduct internal and external monitoring and periodic audits of your activities. Ensure use of DEC online resources for guidance. 
- Top-level Management Support:** Senior management is responsible and committed to export compliance. 

Contact us

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