



KEY FEATURES OF AN EFFECTIVE EXPORT CONTROLS COMPLIANCE PROGRAM

An effective **export controls compliance program** is essential to mitigate risks associated with the export, supply, publishing or brokering of defence and dual-use goods, software, and technology as well as provision of certain DSSL services. A robust compliance program ensures adherence to Australia's export control laws, reduces the likelihood of breaches, provides compliance safeguards and enhances the overall reliability and reputation of the organisation.

Regular Review and Follow-Through:

This is not a set and forget activity and requires continuous commitment for any corrective actions.



Organisational Structure and Chain of Responsibilities:

Establish a chain of command and ensure export responsibilities are assigned and understood.



Commitment to Continuous Improvement:

Regularly evaluate, seek feedback and adopt best practice.



Export Compliance Security:

Develop compliance considerations for supply chain security.



Red Flag Indicators:

Educate personnel about potential red flags.



Compliance Training and Educational Awareness:

Provide adequate training and awareness material to employees. Please contact DEC for further support.



Recordkeeping and Reporting:

Keep detailed records of all export activities and ensure permit reporting conditions are met.



Adequate Resources:

Allocate sufficient human and technical resources to your Export Control Compliance Program.



Comprehensive Risk Analysis:

Conduct risk assessments to identify compliance risks associated with export activities.



Documented Compliance Policies and Procedures:

All operational procedures, policies and protocols are clearly written down and adhered to.



Export Compliance Monitoring and Audits:

Conduct internal and external monitoring and periodic audits of your activities. Ensure use of [DEC online resources](#) for guidance.



Top-level Management Support:

Senior management is responsible and committed to export compliance.



Contact us

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