

# **DEFENCE FOI 438/23/24**

## STATEMENT OF REASONS UNDER THE FREEDOM OF INFORMATION ACT 1982

1. I refer to the request by (the applicant), dated and received on 8 November 2023 by the Department of Defence (Defence), for access to the following documents under the *Freedom of Information Act 1982* (Cth) (FOI Act):

### Background

2. On 8 November 2023, the applicant submitted a request under the FOI Act to Defence in the following terms:

"Approach to Market Documents relating to JSD/RFT/11540/1 issued through ISREW Security Services SOP, publish date 6th of September 2019 (Reference: https://www.tenders.gov.au/ATM/ShowClosed/8eb6ecc3-cbce-4356-8bd3e98f9dad2437?PreviewMode=False ).

Including Conditions for Participation instructions, tender documents available to panel members during the ATM, statement(s) of work and any addenda issued by the Commonwealth during the response period.

Additionally, data resulting from the Approach to Market, specifically, the number of tender responses received by the Commonwealth through the ISREW Security Services Panel for JSD/RFT/11540/1.

*Timeframe: from date* 01/07/2019 - 12:00am

*Timeframe: to date* 09/06/2022 - 12:00am"

#### FOI decision maker

3. I am the authorised officer pursuant to section 23 of the FOI Act to make a decision on this FOI request.

#### **Documents identified**

- 4. I have identified 12 documents as falling within the scope of the request.
- 5. The decision in relation to each document is detailed in the schedule of documents.

#### Exclusions

6. Personal email addresses, signatures, PMKeyS numbers and mobile telephone numbers contained in documents that fall within the scope of the FOI request, duplicates of documents, and documents sent to or from the applicant are excluded from this request. Defence has only considered final versions of documents.

## Decision

- 7. I have decided to:
  - a. release nine documents in full;
  - b. partially release three documents in accordance with section 22 [access to edited copies with exempt or irrelevant matter deleted] of the FOI Act on the grounds that the deleted material is considered exempt under sections 45 (documents containing material obtained in confidence), s47E(d) (public interest conditional exemptions certain operations of agencies) and 47F (public interest conditional exemptions personal privacy) of the FOI Act; and
  - c. remove irrelevant material in accordance with section 22 of the FOI Act.

### Material taken into account

- 8. In making my decision, I have had regard to:
  - a. the terms of the request;
  - b. the content of the identified documents in issue;
  - c. relevant provisions of the FOI Act; and
  - d. the Guidelines published by the Office of the Australian Information Commissioner under section 93A of the FOI Act (the Guidelines).

### **REASONS FOR DECISION**

### Section 22 – Access to edited copies with exempt or irrelevant matter deleted

- 9. Section 22 of the FOI Act permits an agency to prepare and provide an edited copy of a document where the agency has decided to refuse access to an exempt document or that to give access to a document would disclose information that would reasonably be regarded as irrelevant to the request for access.
- 10. The documents contain exempt and irrelevant material and information (signature) that does not relate to the request.
- 11. I am satisfied that it is reasonably practicable to remove the exempt and irrelevant material and release the documents to you in an edited form.

#### Section 45 – Documents containing material obtained in confidence

- 12. Section 45(1) of the FOI Act states "A document is an exempt document if its disclosure under this Act would found an action, by a person (other than an agency or the Commonwealth), for breach of confidence."
- 13. In relation to a breach of confidence, the Guidelines, paragraphs 5.158 & 5.159, provide that:

5.158 *A* breach of confidence is the failure of a recipient to keep confidential, information which has been communicated in circumstances giving rise to the

obligation of confidence. The FOI Act expressly preserves confidentiality where that confidentiality would be actionable at common law or in equity.

5.159 To found an action for breach of confidence (which means s45 would apply), the following five criteria must be satisfied in relation to the information:

- *it must be specifically identified*
- *it must have the necessary quality of confidentiality*
- *it must have been communicated and received on the basis of a mutual understanding of confidence*
- *it must have been disclosed or threatened to be disclosed, without authority*
- unauthorised disclosure of the information has or will cause detriment.
- 14. I have determined that it would be a breach of confidence to provide you with the details of AusTender registered users as contained within the *JSD/RFT/11540/1 AusTender ATM Distribution and Response Report*. This information is carefully managed by AusTender and in the subsequent conduct of any tender process, in order to respect the confidentiality of the parties involved, and maintain integrity of the process. Given the sensitive nature of this information, it is prudent to assume that it would undermine the trust that is essential for the Commonwealth to conduct future tender activities.
- 15. Accordingly, I am satisfied that the information is exempt under section 45 of the FOI Act.

#### Section 47E(d) – Public interest conditional exemptions – certain operations of agencies

16. Section 47E(d) of the FOI Act states:

A document is conditionally exempt if its disclosure under this Act would, or could reasonably be expected to, do any of the following:

- (c) have a substantial adverse effect on the proper and efficient conduct of the operations of the agency.
- 17. The Guidelines, at paragraph 6.123, provide that:

The predicted effect must bear on the agency's 'proper and efficient' operations, that is, the agency is undertaking its expected activities in an expected manner.

- 18. In the case of '*ABK' and Commonwealth Ombudsman* [2022] AICmr 44, the Information Commissioner (IC) found that where the direct email addresses and phone numbers of agency staff are not publicly known, they should be conditionally exempt under section 47E(d). The IC made this determination due to reasonable expectation that the release of direct contact details would undermine the operation of established channels of communication with the public. Further, the IC accepted that staff who were contacted directly could be subject to excessive and abusive communications, which may give rise to work health and safety concerns.
- 19. I am satisfied that were the contact details of Defence personnel made publicly available, it would have substantial adverse effects on the proper and efficient operation of existing public communication channels. Further, I am satisfied of a reasonable expectation that the information could be used inappropriately, in a manner which adversely affects the health, wellbeing and work of Defence personnel.

Disclosure of names, email addresses and phone numbers could, therefore, reasonably be expected to prejudice the operations of Defence.

- 20. The Guidelines provide, at paragraph 6.120, that I should consider whether disclosure of the information 'would, or could reasonably be expected to lead to a change in the agency's processes that would enable those processes to be more efficient.' Given that the direct contact details within the documents are not publicly available and that more appropriate communication channels are already available, I am satisfied that release of the information could reasonably be expected to lead to a change in Defence's processes that would not lead to any efficiencies.
- 21. Accordingly, I am satisfied that all staff information contained within the documents is conditionally exempt under section 47E(d) of the FOI Act.

#### Section 47F – Public interest conditional exemptions - personal privacy

22. Section 47F(1) of the FOI Act states:

A document is conditionally exempt if its disclosure under this Act would involve the unreasonable disclosure of personal information about any person (including a deceased person).

23. The FOI Act shares the same definition of 'personal information' as the *Privacy Act* 1988 (Cth). The Guidelines provide that:

6.128 Personal information means information or an opinion about an identified individual, or an individual who is reasonably identifiable:
(a) whether the information or opinion is true or not; and
(b) whether the information or opinion is recorded in a material form or not.

- 24. I found that the *JSD/RFT/11540/1 AusTender ATM Distribution and Response Report* contains personal information of individuals who accessed the Approach to Market documents, including individual names and email addresses.
- 25. In my assessment of whether the disclosure of personal information is unreasonable, I considered the following factors in accordance with section 47F(2):
  - a. the extent to which the information is well known;
  - b. whether the person to whom the information relates is known to be (or to have been) associated with the matters dealt with in the document;
  - c. the availability of the information from publicly accessible sources; and
  - d. the effect the release of the personal information could reasonably have on the third party.
- 26. I found that the specific personal information listed is not well known, individuals whose personal information is contained in the document are not widely known to be associated with the matters dealt with in the document and the information is not readily available from publicly accessible sources.

27. Accordingly, I am satisfied that the information is conditionally exempt under section 47F of the FOI Act.

#### Public interest considerations - Sections 47E(d) and 47F

28. Section 11A(5) of the FOI Act states:

The agency or Minister must give the person access to the document if it is conditionally exempt at a particular time unless (in the circumstances) access to the document at that time would, on balance, be contrary to the public interest.

29. I have considered the factors favouring disclosure as set out in section 11B(3) [factors favouring access] of the FOI Act. The relevant factors being whether access to the document would:

(a) promote the objects of this Act (including all the matters set out in sections 3 and 3A);

(b) inform debate on a matter of public importance;

(c) promote effective oversight of public expenditure;

(d) allow a person to access his or her own personal information.

- 30. Paragraph 6.22 of the Guidelines specifies a non-exhaustive list of public interest factors against disclosure. The factors I find particularly relevant to this request are that release of this information could reasonably be expected to prejudice:
  - the protection of an individual's right to privacy;
  - the interests of an individual or a group of individuals;
  - an agency's ability to obtain confidential information;
  - an agency's ability to obtain similar information in the future; and
  - the management function of an agency.
- 31. It is in the public interest that Defence efficiently and productively operates with regard for the health and wellbeing of its personnel. As I have established above, the release of the names, email addresses and phone numbers of Defence personnel can reasonably be expected to prejudice the management and personnel management functions of Defence. Existing communication channels and processes enable efficient and appropriate liaison with the public. The direct contact details of Defence personnel should, therefore, not be disclosed, as the public interest against their disclosure outweighs the public interest in their release.
- 32. I have not taken any of the factors listed in section 11B(4) [irrelevant factors] of the FOI Act into account when making this decision.
- 33. I am satisfied, based on the above particulars, the public interest factors against disclosure outweigh the factors for disclosure, and that, on balance, it is against the public interest to release the information to you. Accordingly, I find that the information is exempt under sections 47E(d) and 47F of the FOI Act.

## FURTHER INFORMATION

34. Some of the documents matching the scope of this request contained a dissemination limiting marker (DLM). Where documents have been approved for public release, the DLM has been struck through.



Accredited Decision Maker

Capability Acquisition and Sustainment Group

Department of Defence