

Our Performance

Reporting

In keeping with Australia's national interests and international obligations, Defence facilitates the responsible export of military and dual-use goods and technologies from Australia.

Defence Export Controls' quarterly permit statistics provide the number and type of export applications received and finalised, application processing timeframes, the estimated total value of permits issued, and the breakdown of permits issued to end-users by continent.

The statistics do not equate to actual exports as there is no obligation to conduct or report on an activity under a permit.

What are military and dual-use goods and technologies?

Permits are required for the export of military and dual-use goods and technologies which are defined by the Defence and Strategic Goods List.

Military goods include weapons, munitions and armour but also include items such as explosives, radios, simulators and training equipment that are used in civilian industries such as mining and manufacturing.

Dual-use items and technologies are generally used for civilian purposes but could also be used or adapted for use in a military program or contribute to the development and production of chemical, biological or nuclear weapons systems. Dual-use items can include chemicals for mineral processing, sensors and lasers for university research and development programs, or software and computer equipment.

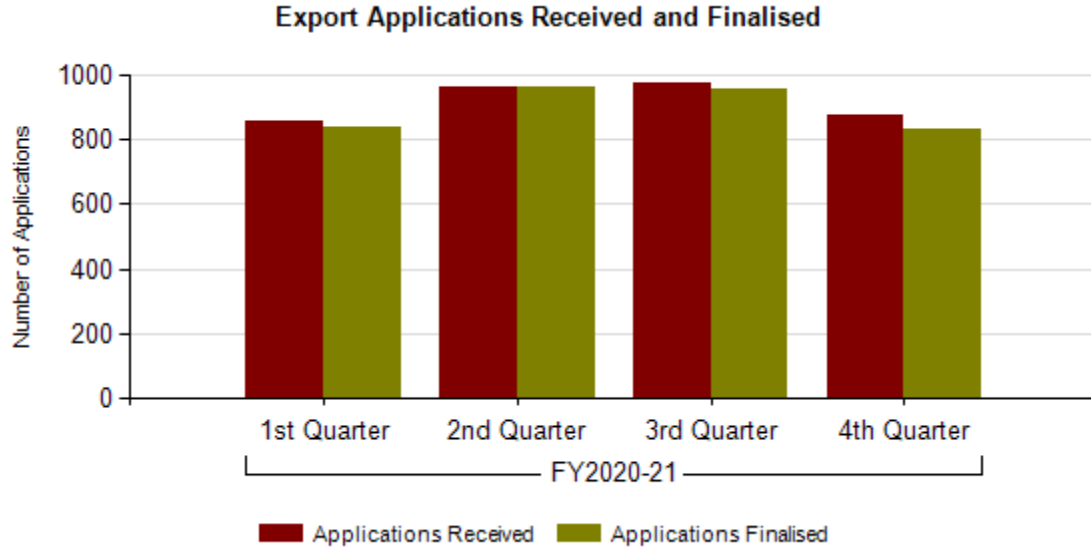
All applications are assessed on a case-by-case basis in accordance with our legislation based export control provisions. This includes consideration of human rights, national security, regional security, foreign policy, and international obligations.

Details on arms transfers within scope of the Arms Trade Treaty are available on the Department of Foreign Affairs and Trade (DFAT) website.

Export Application Statistics

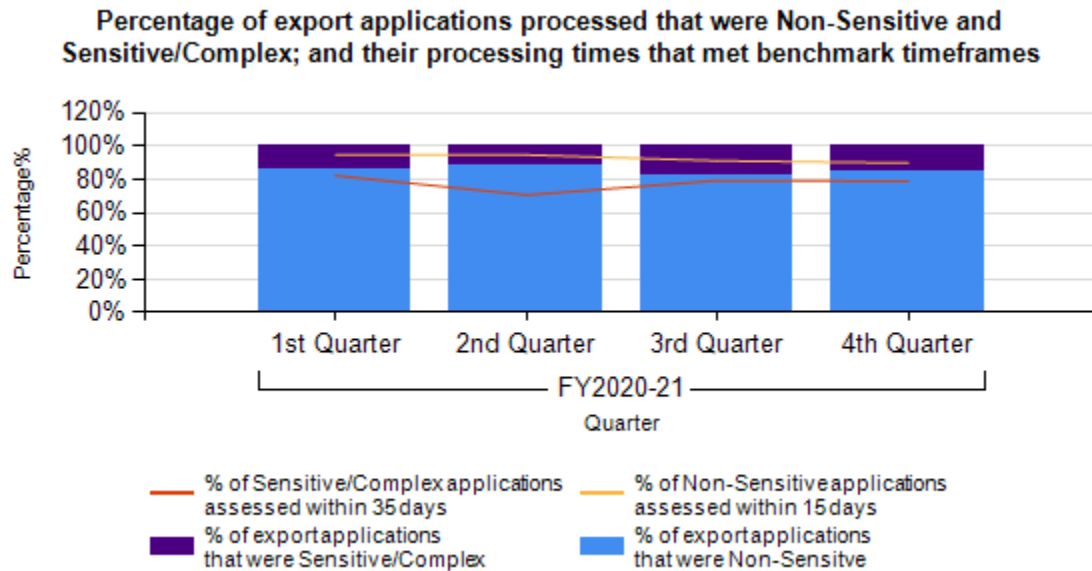
Export Applications

Export Applications received and finalised for FY2020-21



	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications Received	857	956	962	878	3653
Applications Finalised	840	963	958	836	3597

Export Application Processing



The percentage of export applications processed that were Non-Sensitive/Non-Complex and Sensitive/Complex¹ for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non-Sensitive/Non-Complex	86%	88%	83%	85%	85%
% of export applications that were Sensitive/Complex	14%	12%	17%	15%	15%

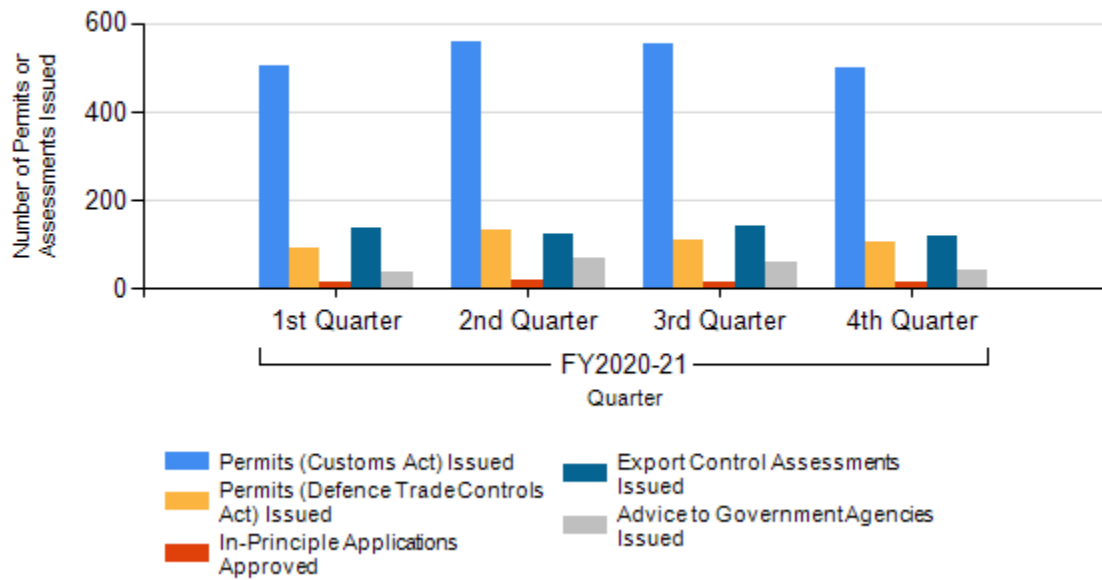
The percentage of export applications processing times that met benchmark assessment timeframes for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	95%	95%	91%	90%	93%
% of Sensitive/Complex applications assessed within 35 days	82%	70%	79%	79%	78%

¹ Sensitive/Complex applications cover assessments that require referrals to other government agencies to assist in assessing an application against the legislative criteria.

Export Application Approvals and Assessments

Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2020-21



Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	504	558	556	499	2117
Permits (Defence Trade Controls Act) Issued	93	131	109	104	437
In-Principle Applications Approved	14	26	21	13	74
Export Control Assessments Issued	135	123	141	117	516
Advice to Government Agencies Issued	38	68	59	44	209

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Export Application Prohibitions and Denials

Export denials under Regulations 13E of the *Customs Act 1901*, in-principle assessments not supported, and prohibitions under the *WMD (Weapons of Mass Destruction) Act* and Military End-Use provision for FY2020-21.

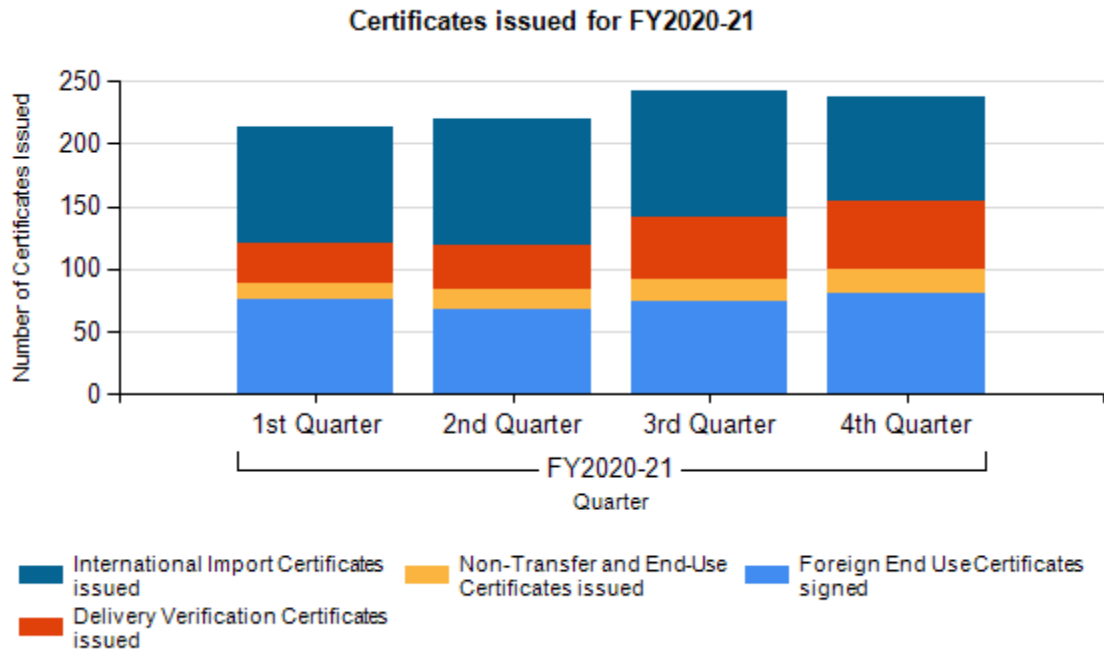
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (Customs Act)	2	2	0	1	5
In-Principle Applications Not Supported	8	8	4	3	23
Prohibition Notices (Defence Trade Controls Act)	0	0	0	0	0
Denials (Defence Trade Controls Act)	1	1	0	0	2
Prohibition Notices (Weapons of Mass Destruction Act)	2	1	0	0	3
Prohibition Notices (Military End Use)	1	0	0	0	1
Prohibition Notices (Publications)	0	0	0	0	0

Export Applications Withdrawn, Made Inactive, Lapsed and No Further Action Required

Withdrawn, made inactive, lapsed, and no further action required for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Withdrawn, Made Inactive or Lapsed	37	43	52	49	181
No Further Action Required	2	4	16	1	23

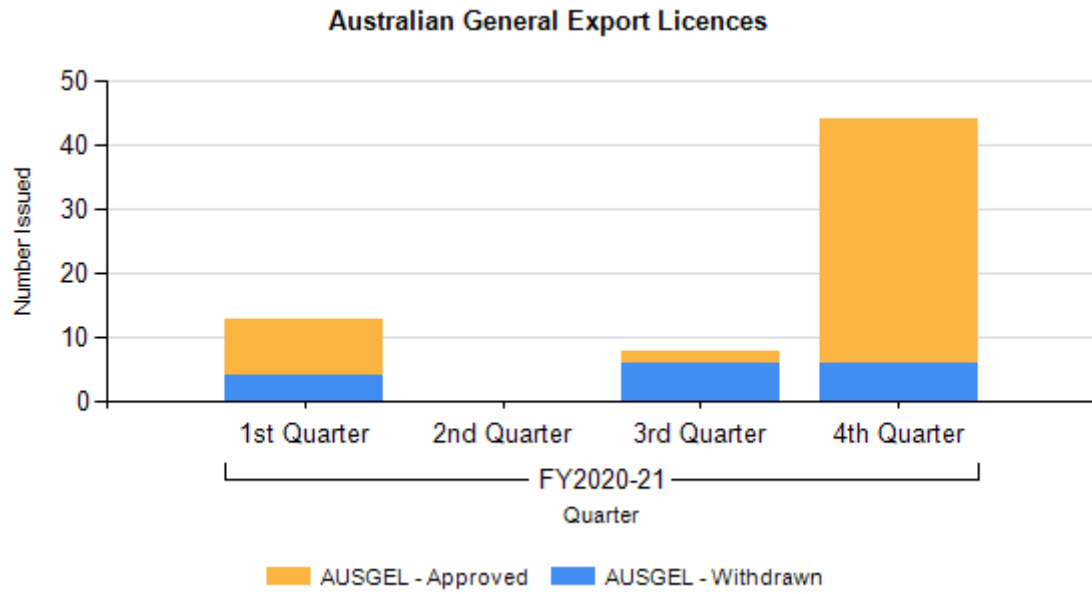
Certificate Statistics



Certificates issued for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	93	104	101	83	381
Delivery Verification Certificates issued	32	36	51	55	174
Non-Transfer and End-Use Certificates issued	15	18	19	19	70
Foreign End Use Certificates signed	75	67	74	80	296

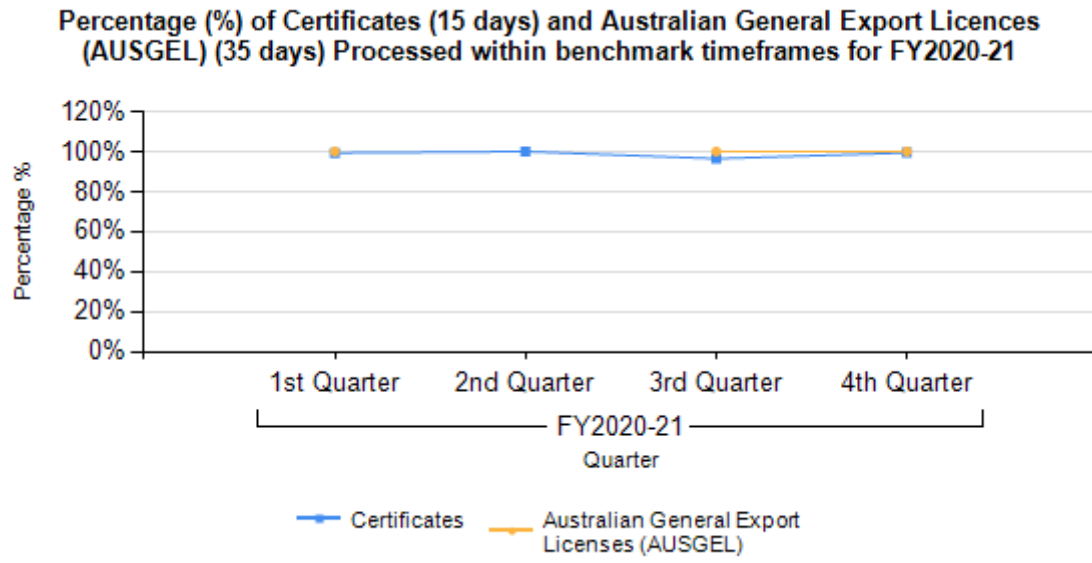
Australian General Export Licences (AUSGEL) Statistics



AUSGELs issued in FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	9	0	2	38	49
AUSGEL - Withdrawn	4	0	6	6	16

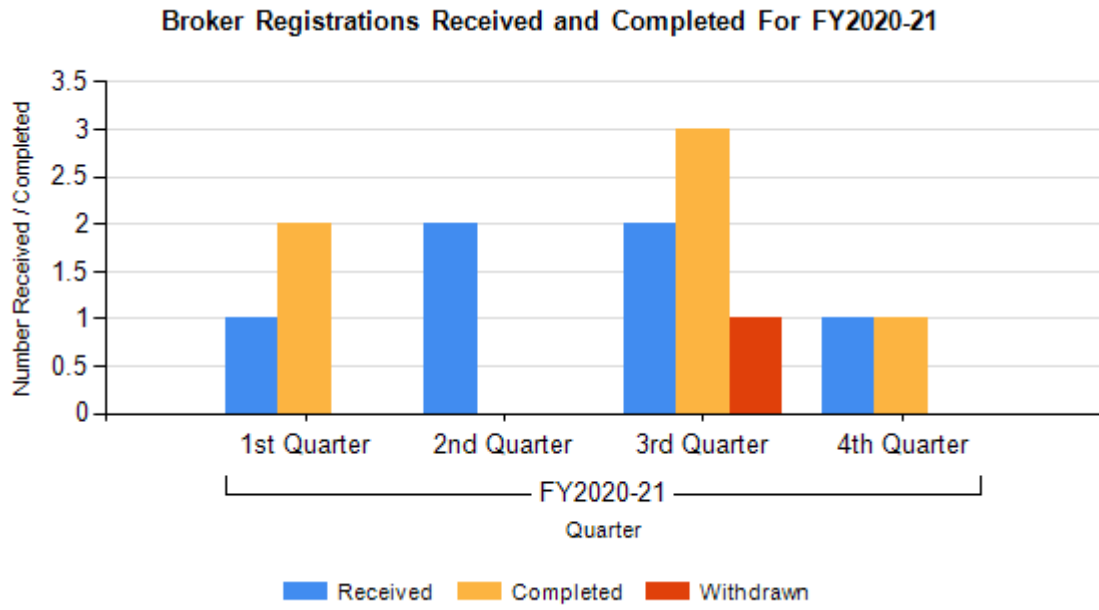
Certificates and Australian General Export Licences (AUSGEL) Processing



Percentage of Certificates (15 days) and AUSGELs (35 days) processed within benchmark timeframes for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Certificates	99%	100%	96%	99%	99%
Australian General Export Licences (AUSGEL)	100%	-	100%	100%	100%

Broker Registration

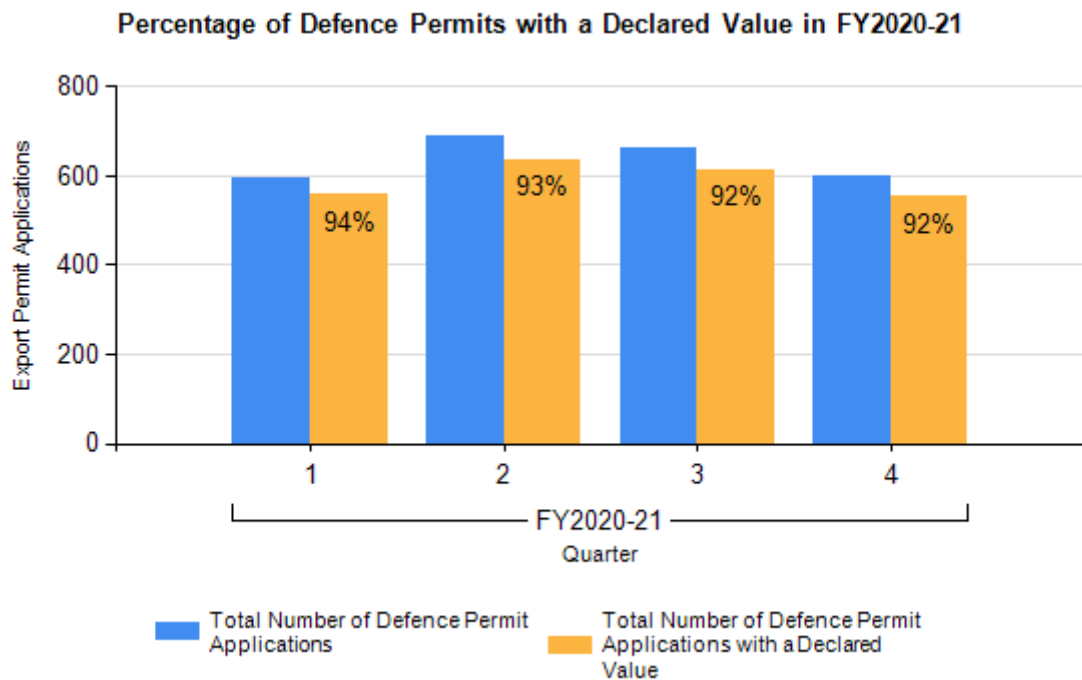
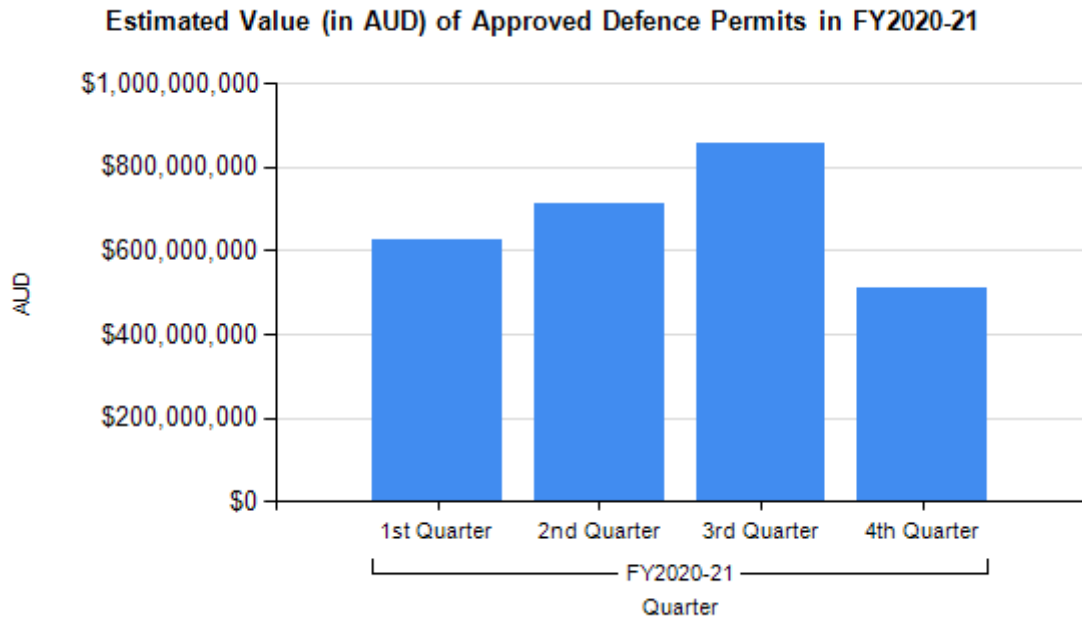


Broker Registrations received and completed for FY2020-21

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Received	1	2	2	1	6
Completed	2	0	3	1	6
Withdrawn	0	0	1	0	1

Estimated Value of Approved Defence Permits

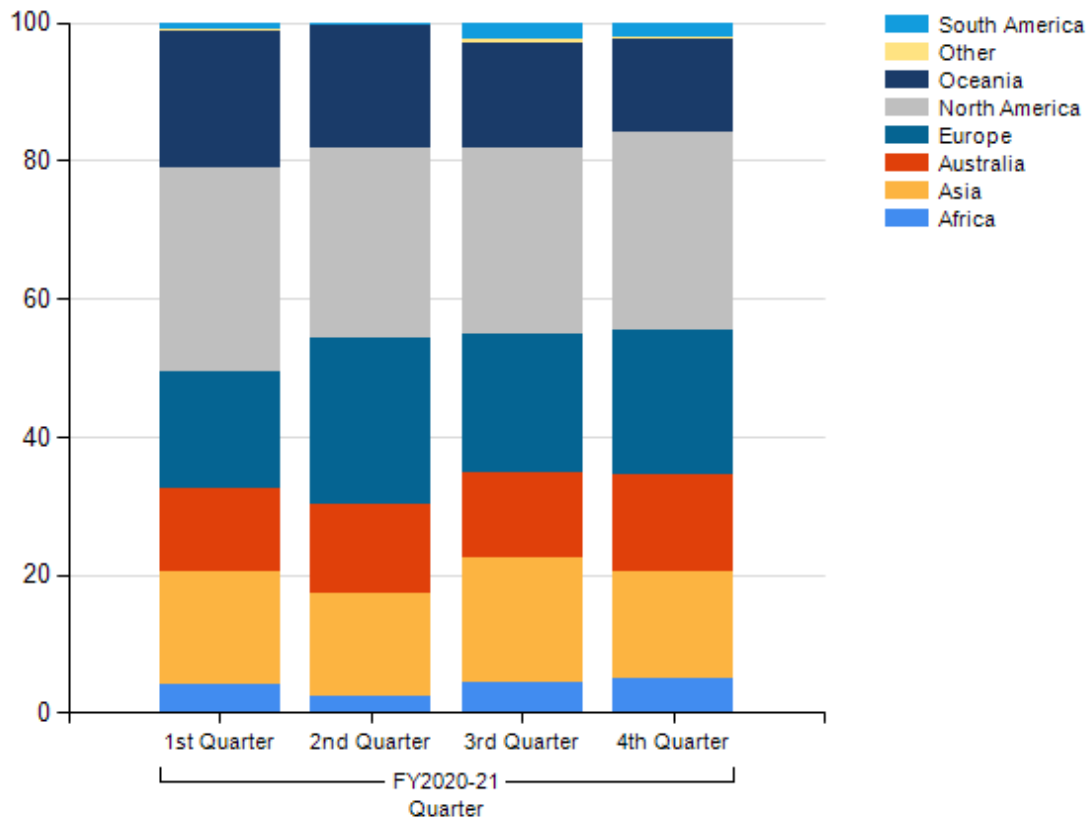
Caveats on statistics in this section: From 1 January 2018 onwards, applicants have been required to include their estimation of the total value of their export over the life of the permit. Some permits extend over multiple years. Year-to-year comparisons of export values could therefore be misleading.



		% of Defence Permits with a Declared Value	Estimated Value on Approved Defence Permits
FY2020-21	Total	93%	\$2,691,076,460
	1	94%	\$626,410,109
	2	93%	\$708,496,064
	3	92%	\$846,102,073
	4	92%	\$510,068,214
FY2019-20	Total	97%	\$5,303,881,508
FY2018-19	Total	93%	\$4,902,603,200

Export Permits Issued to End Users by Region

Export permits issued to end users per quarter for FY2020-21 broken down by region



* Export Permits issued to Australian end users relate to temporary exports. Temporary exports include but are not limited to: demonstration or repair and return.

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Export permits issued to End Users (by Region) by Financial Years

	FY2018-19	FY2019-20	FY2020-21				
	Average	Average	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Africa	3%	4%	4%	2%	4%	5%	3%
Asia	19%	17%	17%	15%	18%	15%	17%
Australia	19%	17%	12%	13%	13%	14%	12%
Europe	20%	20%	17%	24%	20%	21%	21%
North America	21%	24%	30%	28%	27%	29%	28%
Oceania	15%	16%	20%	18%	14%	13%	17%
Other	0%	0%	0%	0%	1%	0%	1%
South America	2%	2%	1%	0%	2%	2%	1%