

Completed
CaseManager
ClientType
DateRange
Outcome

Completed Cases
All
Code of Conduct
Between 1 Jan 2014 and 31 Dec 2014
All

No.	Classification	Elements of the Code (Section 13) determined to have been Breached	Sanction/Outcome*	Sanction/Outcome Date	Previous Sanction	Elements of the Code previously determined to have been Breached
1	APS Level 1	N/A	Take No Action	16/06/2014	N/A	N/A
2	APS Level 1	N/A	Caution	3/11/2014	Reprimand	1,3,11
3	APS Level 2	N/A	Discontinued	1/10/2014	N/A	N/A
4	APS Level 2	N/A	Discontinued	18/02/2014	N/A	N/A
5	APS Level 2	N/A	No Breach Found, Caution	6/02/2014	N/A	N/A
6	APS Level 2	1,2,5,11	Reprimand, Fine	28/07/2014	N/A	N/A
7	APS Level 2	N/A	Management Action	10/02/2014	N/A	N/A
8	APS Level 2	N/A	Caution	23/09/2014	N/A	N/A
9	APS Level 2	N/A	Caution	27/08/2014	Reprimand	3,5,11
10	APS Level 2	N/A	Discontinued	4/06/2014	N/A	N/A
11	APS Level 3	N/A	No Breach Found, Caution	25/07/2014	N/A	N/A
12	APS Level 3	N/A	Take No Action	7/04/2014	N/A	N/A
13	APS Level 3	N/A	Take No Action	7/04/2014	N/A	N/A
14	APS Level 3	N/A	Discontinued	9/05/2014	N/A	N/A
15	APS Level 3	N/A	Discontinued	8/05/2014	N/A	N/A
16	APS Level 3	N/A	Take No Action	3/01/2014	N/A	N/A
17	APS Level 3	N/A	Take No Action	23/07/2014	N/A	N/A
18	APS Level 3	2,3,8,11	Reprimand, Fine	6/03/2014	N/A	N/A
19	APS Level 3	3,5,11	Reprimand	1/05/2014	N/A	N/A
20	APS Level 3	1,4,8,9,11	Termination of Employment	20/01/2014	N/A	N/A
21	APS Level 3	N/A	Take No Action	7/04/2014	N/A	N/A
22	APS Level 3-Executive Level 1 Above	N/A	No Breach Found, Caution	19/03/2014	N/A	N/A
23	APS Level 4	1,2,3,5,11	Reprimand, Reduction in Salary	19/11/2014	N/A	N/A
24	APS Level 4	N/A	Take No Action	15/09/2014	N/A	N/A
25	APS Level 4	N/A	Take No Action	7/04/2014	N/A	N/A
26	APS Level 4	N/A	Take No Action	16/05/2014	N/A	N/A
27	APS Level 4	N/A	Caution	25/07/2014	N/A	N/A
28	APS Level 4	N/A	Caution	17/09/2014	N/A	N/A
29	APS Level 4	1,2,8,11	Termination of Employment	26/03/2014	N/A	N/A
30	APS Level 4	2,5,11	Reprimand, Reduction in Salary	15/01/2014	N/A	N/A
31	APS Level 4	N/A	Take No Action	23/05/2014	N/A	N/A
32	APS Level 4	N/A	Take No Action	7/04/2014	N/A	N/A
33	APS Level 4	N/A	Take No Action	26/02/2014	N/A	N/A
34	APS Level 4	1,2,3,5,8,11	Reduction in Classification	3/11/2014	N/A	N/A
35	APS Level 4	N/A	Discontinued	22/04/2014	N/A	N/A
36	APS Level 4	2,3,5,11	Reprimand	2/04/2014	N/A	N/A
37	APS Level 5	N/A	Discontinued	28/04/2014	N/A	N/A
38	APS Level 5	N/A	Caution	3/11/2014	N/A	N/A
39	APS Level 5	1,2,8,11	Reprimand, Fine	18/11/2014	N/A	N/A
40	APS Level 5	N/A	Take No Action	20/06/2014	N/A	N/A
41	APS Level 5	1,2,4,5,8,10,11	Reprimand, Reduction in Classification	31/01/2014	N/A	N/A
42	APS Level 5	1,2,5,11	Reprimand, Reduction in Salary	15/09/2014	N/A	N/A
43	APS Level 5	N/A	Caution	17/09/2014	N/A	N/A
44	APS Level 5	2,3,4	Reprimand, Fine	9/10/2014	N/A	N/A
45	APS Level 5	N/A	Caution	18/09/2014	N/A	N/A
46	APS Level 5	N/A	Discontinued	25/03/2014	N/A	N/A
47	APS Level 5	N/A	Discontinued	15/10/2014	N/A	N/A
48	APS Level 5	5,8,11	Reprimand	22/10/2014	N/A	N/A
49	APS Level 5	N/A	Take No Action	7/04/2014	N/A	N/A
50	APS Level 5	N/A	No Breach Found, Caution	19/11/2014	N/A	N/A
51	APS Level 5	N/A	Discontinued	25/02/2014	N/A	N/A
52	APS Level 5	N/A	Discontinued	12/05/2014	N/A	N/A
53	APS Level 5	2,8,11	Reduction in Classification	25/08/2014	N/A	N/A
54	APS Level 5	N/A	Take No Action	20/02/2014	N/A	N/A
55	APS Level 5	N/A	Discontinued	7/04/2014	N/A	N/A
56	APS Level 6	N/A	Management Action	12/09/2014	N/A	N/A

57	APS Level 6	N/A	No Breach Found, Caution	8/01/2014	N/A	N/A
58	APS Level 6	N/A	Take No Action	20/06/2014	N/A	N/A
59	APS Level 6	N/A	Management Action	28/11/2014	N/A	N/A
60	APS Level 6	N/A	Take No Action	16/05/2014	N/A	N/A
61	APS Level 6	N/A	Management Action	6/06/2014	N/A	N/A
62	APS Level 6	5	Discontinued	14/04/2014	N/A	N/A
63	APS Level 6	N/A	Take No Action	3/07/2014	N/A	N/A
64	APS Level 6	N/A	Discontinued	16/01/2014	N/A	N/A
65	APS Level 6	2,3,5,11	Reprimand, Reduction in Salary	16/04/2014	N/A	N/A
66	APS Level 6	1,2,3,5,9,11	Reprimand, Reduction in Classification	17/10/2014	N/A	N/A
67	APS Level 6	N/A	Take No Action	20/08/2014	N/A	N/A
68	APS Level 6	1,2,3,8,11	Discontinued	10/09/2014	Reprimand, Fine	1,2,3,11
69	APS Level 6	N/A	Management Action	24/10/2014	N/A	N/A
70	APS Level 6	N/A	Management Action	30/06/2014	N/A	N/A
71	APS Level 6	N/A	Management Action	11/03/2014	N/A	N/A
72	APS Level 6	N/A	Take No Action	13/08/2014	N/A	N/A
73	APS Level 6	N/A	Take No Action	7/04/2014	N/A	N/A
74	APS Level 6	N/A	No Breach Found, Caution	5/05/2014	N/A	N/A
75	APS Level 6	N/A	No Breach Found, Caution	24/03/2014	N/A	N/A
76	APS Level 6	N/A	Management Action	19/08/2014	N/A	N/A
77	APS Level 6	N/A	Management Action	25/06/2014	N/A	N/A
78	APS Level 6	N/A	Discontinued	2/12/2014	N/A	N/A
79	APS Level 6	N/A	Caution	29/05/2014	N/A	N/A
80	APS Level 6	N/A	Caution	23/07/2014	N/A	N/A
81	APS Level 6	2,5,8,11	Reprimand, Reduction in Salary	6/02/2014	N/A	N/A
82	APS Level 6	N/A	No Breach Found	3/10/2014	N/A	N/A
83	APS Level 6	N/A	Take No Action	14/08/2014	Reduction in Salary	3,11
84	Executive Level 1	1,2,5,11	Discontinued	17/10/2014	N/A	N/A
85	Executive Level 1	N/A	Caution	20/01/2014	N/A	N/A
86	Executive Level 1	N/A	No Breach Found	3/04/2014	N/A	N/A
87	Executive Level 1	N/A	Management Action	30/06/2014	N/A	N/A
88	Executive Level 1	N/A	No Breach	17/04/2014	No, previously Cautioned	N/A
89	Executive Level 1	N/A	Discontinued	7/07/2014	N/A	N/A
90	Executive Level 1	N/A	No Breach Found, Caution	27/08/2014	N/A	N/A
91	Executive Level 1	N/A	Take No Action	11/04/2014	N/A	N/A
92	Executive Level 1	3,8,5,11	Termination of Employment	4/06/2014	N/A	N/A
93	Executive Level 1	N/A	Management Action	19/08/2014	N/A	N/A
94	Executive Level 1	N/A	Take No Action	16/09/2014	N/A	N/A
95	Executive Level 1	N/A	No Breach Found; Caution	11/08/2014	N/A	N/A
96	Executive Level 1	N/A	Management Action	13/10/2014	N/A	N/A
97	Executive Level 1	N/A	Take No Action	11/07/2014	N/A	N/A
98	Executive Level 1	N/A	No Breach Found	4/03/2014	N/A	N/A
99	Executive Level 1	1,2,8,11	Termination of Employment	10/07/2014	Reprimand, Reduction in Salary	1,2,3,5,8,9,11
100	Executive Level 1	1,3,5,8,11	Reprimand, Fine	10/04/2014	N/A	N/A
101	Executive Level 1	N/A	Management Action	16/04/2014	N/A	N/A
102	Executive Level 1	N/A	No Breach Found, Caution	5/02/2014	N/A	N/A
103	Executive Level 1	N/A	Caution	26/09/2014	N/A	N/A
104	Executive Level 1	N/A	Caution	17/07/2014	N/A	N/A
105	Executive Level 1	N/A	Take No Action	16/09/2014	N/A	N/A
106	Executive Level 1	N/A	Take No Action	16/09/2014	N/A	N/A
107	Executive Level 2	N/A	Discontinued	8/07/2014	N/A	N/A
108	Executive Level 3	N/A	Discontinued	11/08/2014	N/A	N/A
109	Executive Level 2	N/A	Take No Action	9/09/2014	N/A	N/A
110	Executive Level 2	N/A	Management Action	26/09/2014	N/A	N/A
111	Executive Level 2	N/A	Management Action	28/11/2014	N/A	N/A
112	Executive Level 2	N/A	Take No Action	3/03/2014	N/A	N/A
113	Executive Level 2	N/A	Caution	23/07/2014	N/A	N/A
114	Executive Level 3	N/A	Take No Action	21/05/2014	N/A	N/A
115	Unidentified multiple respondents	N/A	Management Action	23/09/2014	N/A	N/A

* - note Discontinued covers where the respondent resigned, retired, was terminated due to another process or the matter was ceased due to medical issues

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No.	Classification	Elements of the Code (Section 13) determined to have been Breached	Sanction/Outcome*	Sanction/Outcome Date	Previous Sanction	Elements of the Code previously determined to have been Breached
1	APS Level 1	N/A	Take No Action	5/08/2015	N/A	N/A
2	APS Level 2	N/A	Take No Action	17/03/2015	N/A	N/A
3	APS Level 2	N/A	Caution	2/04/2015	N/A	N/A
4	APS Level 2	3,4,11	Reprimand, Fine	24/03/2015	N/A	N/A
5	APS Level 2	N/A	Caution	7/10/2015	N/A	N/A
6	APS Level 2	N/A	Take No Action	30/04/2015	N/A	N/A
7	APS Level 2	N/A	Management Action	8/01/2015	N/A	N/A
8	APS Level 3	N/A	Discontinued	1/04/2015	N/A	N/A
9	APS Level 3	3,5,11	Reprimand	6/07/2015	N/A	N/A
10	APS Level 3	N/A	Management Action	26/06/2015	Reprimand, Fine	2,8,11
11	APS Level 3	N/A	Caution	27/03/2015	N/A	N/A
12	APS Level 3	N/A	No Breach Found, Caution	7/04/2015	N/A	N/A
13	APS Level 3	2,3,11	Reprimand	2/06/2015	No, previously Cautioned	N/A
14	APS Level 3	N/A	Discontinued	14/10/2015	Reduction in Classification	1,2,3,4,8,11
15	APS Level 3-4	N/A	Discontinued	1/09/2015	N/A	N/A
16	APS Level 4	1,2,8,10	Termination of Employment	8/09/2015	N/A	N/A
17	APS Level 4	N/A	Caution	2/04/2015	N/A	N/A
18	APS Level 4	3,5,11	Termination of Employment	19/01/2015	N/A	N/A
19	APS Level 4	N/A	Caution	22/07/2015	N/A	N/A
20	APS Level 4/5-6	2,5,8,11	Reprimand; Fine	11/06/2015	N/A	N/A
21	APS Level 4-5	N/A	Management Action	16/10/2015	N/A	N/A
22	APS Level 5	3,5,11	Reprimand, Fine	21/07/2015	N/A	N/A
23	APS Level 5	N/A	Management Action	14/01/2015	N/A	N/A
24	APS Level 5	N/A	Take No Action	15/06/2015	N/A	N/A
25	APS Level 5	N/A	Discontinued	18/11/2015	N/A	N/A
26	APS Level 5	N/A	Caution	7/09/2015	N/A	N/A
27	APS Level 5	N/A	Take No Action	25/02/2015	N/A	N/A
28	APS Level 5	N/A	Caution	16/12/2015	N/A	N/A
29	APS Level 5	N/A	Caution	25/06/2015	N/A	N/A
30	APS Level 5	N/A	Management Action	29/10/2015	N/A	N/A
31	APS Level 5	N/A	Caution	18/12/2015	N/A	N/A
32	APS Level 6	5,8,11	Reprimand, Fine	30/07/2015	N/A	N/A
33	APS Level 6	N/A	Discontinued	23/02/2015	N/A	N/A
34	APS Level 6	N/A	No Breach Found	7/06/2015	Reprimand; Reduction in Classification **	5,8,11; 1,3,7,10,11 **
35	APS Level 6	N/A	Discontinued	23/12/2015	Reprimand; Reduction in Classification **	5,8,11; 1,3,7,10,11 **
36	APS Level 6	N/A	Caution	6/11/2015	N/A	N/A
37	APS Level 6	N/A	Caution	6/05/2015	N/A	N/A
38	APS Level 6	N/A	Take No Action	9/09/2015	N/A	N/A
39	APS Level 6	3,11	Reprimand, Fine	26/06/2015	N/A	N/A
40	APS Level 6	N/A	Caution	23/11/2015	N/A	N/A
41	APS Level 6	N/A	No Breach Found	4/08/2015	N/A	N/A
42	APS Level 6	N/A	Caution	9/11/2015	N/A	N/A
43	APS Level 6	N/A	Caution	8/05/2015	N/A	N/A
44	APS Level 6	N/A	Take No Action	25/02/2015	N/A	N/A
45	APS Level 6	N/A	Caution	16/12/2015	N/A	N/A
46	APS Level 6	3,5,8,11	Reprimand, Fine	4/03/2015	No, previously Cautioned	N/A
47	APS Level 6	N/A	Caution	30/10/2015	N/A	N/A
48	APS Level 6	N/A	Caution	21/12/2015	Reprimand, Reduction in Salary	2,5,8,11
49	Executive Level 1	N/A	Management Action	23/06/2015	N/A	N/A
50	Executive Level 1	N/A	Management Action	24/07/2015	N/A	N/A
51	Executive Level 1	N/A	No Breach Found	25/05/2015	N/A	N/A
52	Executive Level 1	N/A	Management Action	14/01/2015	N/A	N/A
53	Executive Level 1	N/A	Take No Action	20/02/2015	No, previously Cautioned	N/A
54	Executive Level 1	1,2,3,5,8,11	Reprimand, Fine	25/05/2015	N/A	N/A
55	Executive Level 1	N/A	Management Action	16/09/2015	N/A	N/A
56	Executive Level 1	N/A	No Breach Found	21/05/2015	N/A	N/A

57	Executive Level 1	1,5,11	Reprimand	3/11/2015	N/A	N/A
58	Executive Level 1	N/A	Management Action	25/09/2015	N/A	N/A
59	Executive Level 1	N/A	Management Action	3/03/2015	N/A	N/A
60	Executive Level 1	N/A	No Breach Found	21/05/2015	N/A	N/A
61	Executive Level 1	5,8,11	Discontinued	15/12/2015	N/A	N/A
62	Executive Level 1	1,2,5,8,9,10,11	Discontinued	22/07/2015	N/A	N/A
63	Executive Level 1	N/A	Management Action	16/09/2015	N/A	N/A
64	Executive Level 1	N/A	Management Action	23/06/2015	N/A	N/A
65	Executive Level 1	N/A	Discontinued	5/05/2015	N/A	N/A
66	Executive Level 1	1,2,5,11	Reprimand; Reduction in Salary	7/09/2015	N/A	N/A
67	Executive Level 2	N/A	Caution	16/04/2015	N/A	N/A
68	Executive Level 2	N/A	Caution	22/04/2015	N/A	N/A
69	Executive Level 2	N/A	Take No Action	7/07/2015	N/A	N/A
70	Executive Level 2	N/A	Caution	24/03/2015	N/A	N/A
71	Executive Level 2	N/A	No Breach Found, Caution	29/06/2015	N/A	N/A
72	Executive Level 2	N/A	Take No Action	22/04/2015	N/A	N/A
73	Executive Level 2	N/A	Caution	7/09/2015	N/A	N/A
74	Executive Level 2	N/A	No Breach Found	7/07/2015	N/A	N/A
75	Executive Level 2	N/A	Caution	1/05/2015	N/A	N/A
76	Executive Level 2	N/A	Management Action	25/09/2015	N/A	N/A
77	Executive Level 2	N/A	Management Action	23/06/2015	N/A	N/A
78	Executive Level 2	N/A	Management Action	7/09/2015	No, previously Cautioned	N/A
79	Executive Level 2	1,5,8,11	Reprimand, Reduction in Salary	27/11/2015	N/A	N/A
80	Executive Level 2	N/A	Management Action	31/07/2015	N/A	N/A
81	Non-APS Employee	N/A	Management Action	1/05/2015	N/A	N/A
82	Trainee APS (Administrative)	N/A	Discontinued	14/10/2015	N/A	N/A

* - note Discontinued covers where the respondent resigned, retired, was terminated due to another process or the matter was ceased due to medical issues

** - note these resulted from two different matters

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CaseManager
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All

No.	Classification	Elements of the Code (Section 13) determined to have been Breached	Sanction/Outcome *	Sanction/Outcome Date	Previous Sanction	Elements of the Code previously determined to have been Breached
1	APS Level 1	N/A	Caution	12/08/2016	N/A	N/A
2	APS Level 2	3,5,11	Fine	15/02/2016	N/A	N/A
3	APS Level 2	N/A	Discontinued	9/09/2016	N/A	N/A
4	APS Level 2	N/A	Management Action	16/02/2016	N/A	N/A
5	APS Level 3	5,11	Reduction in Salary	1/07/2016	N/A	N/A
6	APS Level 3	1,9,11	Termination of Employment	18/01/2016	N/A	N/A
7	APS Level 3	5,8,11	Termination of Employment	26/04/2016	N/A	N/A
8	APS Level 3	N/A	Caution	7/03/2016	N/A	N/A
9	APS Level 3	1,2,5,8,11	Termination of Employment	17/05/2016	N/A	N/A
10	APS Level 3	1,5,9	Discontinued	28/06/2016	N/A	N/A
11	APS Level 4	N/A	Caution	13/01/2016	N/A	N/A
12	APS Level 4	N/A	Management Action	19/05/2016	N/A	N/A
13	APS Level 4	N/A	Management Action	1/02/2016	N/A	N/A
14	APS Level 4	1,5,8	Discontinued	2/06/2016	N/A	N/A
15	APS Level 4	N/A	Caution	13/01/2016	N/A	N/A
16	APS Level 4	1,2,5,11	Reprimand, Fine	7/09/2016	N/A	N/A
17	APS Level 4	N/A	Caution	14/07/2016	N/A	N/A
18	APS Level 4	3	Discontinued	8/02/2016	N/A	N/A
19	APS Level 4	N/A	Caution	7/06/2016	N/A	N/A
20	APS Level 4/5-6	3,5	Termination of Employment	12/12/2016	Reprimand, Reduction in Salary	3,5,11
21	APS Level 4-5	N/A	Management Action	10/03/2016	N/A	N/A
22	APS Level 5	N/A	Caution	2/06/2016	N/A	N/A
23	APS Level 5	1,5	Fine	15/11/2016	No, previously Cautioned	N/A
24	APS Level 5	1,9,11	Reduction in Salary	30/03/2016	N/A	N/A
25	APS Level 5	1,4,8,9,10,11	Termination of Employment	17/05/2016	N/A	N/A
26	APS Level 5	3,5	Reduction in Salary	28/07/2016	N/A	N/A
27	APS Level 5	N/A	Management Action	5/12/2016	N/A	N/A
28	APS Level 5	N/A	Caution	2/03/2016	N/A	N/A
29	APS Level 5	N/A	Caution	23/02/2016	Reprimand, Reduction in Salary	1,2,5,11
30	APS Level 5	2,3,5,11	Termination of Employment	6/05/2016	N/A	N/A
31	APS Level 5	N/A	Caution	14/12/2016	N/A	N/A
32	APS Level 5	1,2,5,11	Reprimand	30/05/2016	N/A	N/A
33	APS Level 5	3,5	Discontinued	9/11/2016	N/A	N/A
34	APS Level 5	N/A	Caution	10/03/2016	N/A	N/A
35	APS Level 6	3,5,9	Termination of Employment	31/10/2016	N/A	N/A
36	APS Level 6	4,8,11	Reprimand	22/03/2016	N/A	N/A
37	APS Level 6	N/A	Management Action	1/04/2016	N/A	N/A
38	APS Level 6	1,5,8	Reduction in Classification	23/11/2016	N/A	N/A
39	APS Level 6	N/A	Management Action	12/09/2016	N/A	N/A
40	APS Level 6	N/A	Discontinued	10/02/2016	N/A	N/A
41	APS Level 6	N/A	Management Action	20/10/2016	N/A	N/A
42	APS Level 6	N/A	Caution	1/04/2016	N/A	N/A
43	APS Level 6	1,2,5,8,9,11	Fine	7/04/2016	N/A	N/A
44	APS Level 6	N/A	Management Action	26/04/2016	N/A	N/A
45	APS Level 6	N/A	Caution	12/02/2016	N/A	N/A
46	APS Level 6	1,2,3,11	Discontinued	10/05/2016	N/A	N/A
47	APS Level 6	3,11	Discontinued	28/09/2016	Reprimand; Reduction in Classification **	5,8,11; 1,3,7,10,11 **
48	APS Level 6	N/A	Discontinued	13/01/2016	N/A	N/A
49	APS Level 6	N/A	Caution	25/02/2016	N/A	N/A
50	APS Level 6	N/A	Take No Action	4/03/2016	N/A	N/A
51	APS Level 6	1,2,5	Discontinued	20/10/2016	N/A	N/A
52	APS Level 6	N/A	Take No Action	28/10/2016	N/A	N/A
53	APS Level 6	1,5,8,11	Reduction in Salary	26/04/2016	N/A	N/A
54	APS Level 6	3,8	Reprimand	27/09/2016	N/A	N/A
55	APS Level 6	1,5	Reprimand	12/08/2016	N/A	N/A
56	APS Level 6	N/A	Discontinued	20/01/2016	N/A	N/A
57	APS Level 6	N/A	Caution	1/04/2016	N/A	N/A
58	Executive Level 1	N/A	Caution	30/05/2016	N/A	N/A
59	Executive Level 1	5,8,11	Reduction in Salary	12/04/2016	N/A	N/A
60	Executive Level 1	N/A	Caution	5/02/2016	N/A	N/A

61	Executive Level 1	N/A	Discontinued	9/02/2016	N/A	N/A
62	Executive Level 1	1,5,11	Reduction in Classification	27/01/2016	N/A	N/A
63	Executive Level 1	1,9	Fine	18/11/2016	N/A	N/A
64	Executive Level 1	N/A	Take No Action	9/02/2016	N/A	N/A
65	Executive Level 1	5	Termination of Employment	30/03/2016	N/A	N/A
66	Executive Level 1	3,5,11	Reduction in Salary	25/05/2016	N/A	N/A
67	Executive Level 1	N/A	Management Action	19/05/2016	N/A	N/A
68	Executive Level 1	N/A	Discontinued	17/11/2016	N/A	N/A
69	Executive Level 1	3,5	Reprimand	27/01/2016	N/A	N/A
70	Executive Level 1	N/A	Discontinued	13/01/2016	N/A	N/A
71	Executive Level 1	N/A	Caution	28/10/2016	No, previously Cautioned	N/A
72	Executive Level 2	N/A	Caution	13/04/2016	N/A	N/A
73	Executive Level 3	N/A	Discontinued	29/08/2016	N/A	N/A
74	Executive Level 2	N/A	Discontinued	21/11/2016	N/A	N/A
75	Executive Level 2	N/A	Management Action	6/07/2016	N/A	N/A
76	Executive Level 2	N/A	Management Action	23/06/2016	N/A	N/A
77	Executive Level 2	N/A	Management Action	18/02/2016	N/A	N/A
78	Executive Level 3	N/A	Caution	31/03/2016	N/A	N/A
79	Ex-employee	3	Discontinued	21/06/2016	N/A	N/A

* - note Discontinued covers where the respondent resigned, retired, was terminated due to another process or the matter was ceased due to medical issues

** - note these resulted from two different matters

Completed
CaseManager
ClientType
DateRange
Outcome

Completed Cases
All
Code of Conduct
Between 1 Jan 2017 and 30 Jun 2017
All

No.	Classification	Elements of the Code (Section 13) determined to have been Breached	Sanction/Outcome*	Sanction/Outcome Date	Previous Sanction	Elements of the Code previously determined to have been Breached
1	APS Level 1	N/A	Discontinued	10/03/2017	N/A	N/A
2	APS Level 2	N/A	Caution	16/02/2017	N/A	N/A
3	APS Level 2	N/A	Caution	15/03/2017	N/A	N/A
4	APS Level 2	N/A	Caution	6/06/2017	N/A	N/A
5	APS Level 2	N/A	Discontinued	23/05/2017	N/A	N/A
6	APS Level 2	3,5	Fine	16/02/2017	N/A	N/A
7	APS Level 2	N/A	Caution	14/06/2017	N/A	N/A
8	APS Level 3	N/A	Discontinued	12/05/2017	N/A	N/A
9	APS Level 3-4	3,11	Reduction in Salary	26/06/2017	N/A	N/A
10	APS Level 4	N/A	Caution	16/06/2017	N/A	N/A
11	APS Level 4	3,5	Fine	28/03/2017	N/A	N/A
12	APS Level 4	1,9,11	Discontinued	29/05/2017	N/A	N/A
13	APS Level 4	N/A	Caution	3/04/2017	N/A	N/A
14	APS Level 4-5	2,5,11	Discontinued	3/04/2017	N/A	N/A
15	APS Level 5	1,5,9	Reduction in Classification	21/02/2017	N/A	N/A
16	APS Level 5	N/A	Discontinued	20/02/2017	Reduction in Classification	1,5,8
17	APS Level 5	N/A	Caution	1/05/2017	N/A	N/A
18	APS Level 5	N/A	Management Action	18/05/2017	No, previously Cautioned	N/A
19	APS Level 5	N/A	Discontinued	7/02/2017	N/A	N/A
20	APS Level 5	5	Termination of Employment	20/04/2017	N/A	N/A
21	APS Level 5	3	Fine	31/05/2017	No, previously Cautioned	N/A
22	APS Level 5	N/A	Caution	7/02/2017	N/A	N/A
23	APS Level 5	1,3,5,8	Termination of Employment	1/02/2017	N/A	N/A
24	APS Level 6	N/A	Management Action	5/04/2017	N/A	N/A
25	APS Level 6	N/A	Caution	7/02/2017	N/A	N/A
26	APS Level 6	N/A	Management Action	17/05/2017	N/A	N/A
27	APS Level 6	N/A	Discontinued	1/02/2017	N/A	N/A
28	APS Level 6	N/A	Caution	7/02/2017	N/A	N/A
29	APS Level 6	N/A	Discontinued	7/02/2017	N/A	N/A
30	APS Level 6	N/A	Caution	24/03/2017	N/A	N/A
31	APS Level 6	3,11	Discontinued	4/01/2017	N/A	N/A
32	Executive Level 1	N/A	Caution	2/02/2017	N/A	N/A
33	Executive Level 1	1,5	Termination of Employment	18/04/2017	N/A	N/A
34	Executive Level 1	N/A	Caution	22/03/2017	N/A	N/A
35	Executive Level 1	1,3	Discontinued	11/05/2017	N/A	N/A
36	Executive Level 2	N/A	Caution	9/03/2017	N/A	N/A
37	Executive Level 2	N/A	Caution	22/05/2017	N/A	N/A
38	Executive Level 2	N/A	Caution	9/03/2017	N/A	N/A
39	Executive Level 2	N/A	Caution	2/02/2017	N/A	N/A
40	Executive Level 2	N/A	Management Action	28/04/2017	N/A	N/A
41	Executive Level 2	N/A	Discontinued	11/03/2017	N/A	N/A
42	Executive Level 2	N/A	Caution	9/03/2017	N/A	N/A
43	Executive Level 2	N/A	Management Action	16/03/2017	No, previously Cautioned	N/A
44	Non-APS Employee	N/A	Take No Action	18/05/2017	N/A	N/A
45	SES Band 1	N/A	Discontinued	10/05/2017	N/A	N/A

* - note Discontinued covers where the respondent resigned, retired, was terminated due to another process or the matter was ceased due to medical issues